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1D NONNEGATIVE SCHRÖDINGER OPERATORS WITH POINT INTERACTIONS

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Let Y be an infinite discrete set of points in \mathbb{R} , satisfying the condition $\inf\{|y - y'|, y, y' \in Y, y' \neq y\} > 0$. In the paper we prove that the systems $\{\delta(x - y)\}_{y \in Y}$, $\{\delta'(x - y)\}_{y \in Y}$, $\{\delta(x - y), \delta'(x - y)\}_{y \in Y}$ form Riesz bases in the corresponding closed linear spans in the Sobolev spaces $W_2^{-1}(\mathbb{R})$ and $W_2^{-2}(\mathbb{R})$. As an application, we prove the transversalness of the Friedrichs and Kreĭn nonnegative selfadjoint extensions of the nonnegative symmetric operators A_0 , A' , and H_0 defined as restrictions of the operator $A = -\frac{d^2}{dx^2}$, $\text{dom}(A) = W_2^2(\mathbb{R})$ to the linear manifolds $\text{dom}(A_0) = \{f \in W_2^2(\mathbb{R}) : f(y) = 0, y \in Y\}$, $\text{dom}(A') = \{g \in W_2^2(\mathbb{R}) : g'(y) = 0, y \in Y\}$, and $\text{dom}(H_0) = \{f \in W_2^2(\mathbb{R}) : f(y) = 0, f'(y) = 0, y \in Y\}$, respectively. Using the divergence forms, the basic nonnegative boundary triplets for A_0^* , A'^* , and H_0^* are constructed.

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Пусть Y бесконечное дискретное множество точек в \mathbb{R} , удовлетворяющее условию $\inf\{|y - y'|, y, y' \in Y, y' \neq y\} > 0$. Мы показываем, что системы $\{\delta(x - y)\}_{y \in Y}$, $\{\delta'(x - y)\}_{y \in Y}$, $\{\delta(x - y), \delta'(x - y)\}_{y \in Y}$ образуют базисы Рисса в соответствующих замкнутых линейных оболочках в пространствах Соболева $W_2^{-1}(\mathbb{R})$ и $W_2^{-2}(\mathbb{R})$. В приложении мы доказываем трансверсальность неотрицательных самосопряженных расширений Фридрихса и Крейна неотрицательных симметрических операторов A_0 , A' и H_0 , определенных как сужение оператора $A = -\frac{d^2}{dx^2}$, $\text{dom}(A) = W_2^2(\mathbb{R})$ на линейные многообразия $\text{dom}(A_0) = \{f \in W_2^2(\mathbb{R}) : f(y) = 0, y \in Y\}$, $\text{dom}(A') = \{g \in W_2^2(\mathbb{R}) : g'(y) = 0, y \in Y\}$ и $\text{dom}(H_0) = \{f \in W_2^2(\mathbb{R}) : f(y) = 0, f'(y) = 0, y \in Y\}$ соответственно. Используя дивергентную форму, построены базисные неотрицательные граничные тройки для A_0^* , A'^* и H_0^* .

1. Introduction. Let \mathbb{Z} be the set of all integers and let $\mathbb{Z}_- = \{j \in \mathbb{Z}, j \leq -1\}$, $\mathbb{Z}_+ = \{j \in \mathbb{Z}, j \geq 1\}$. By \mathbb{J} we will denote one of the sets \mathbb{Z} , \mathbb{Z}_- , \mathbb{Z}_+ . Let Y be a finite or infinite monotone sequence of points in \mathbb{R} . When Y is infinite we will suppose that

$$\inf\{|y_j - y_k|, j \neq k\} = d > 0. \quad (1)$$

For an infinite Y , the following three cases are possible

$$Y = \{y_j, j \in \mathbb{Z}\}, \text{ if } \inf\{Y\} = -\infty \text{ and } \sup\{Y\} = +\infty, \\ Y = \{y_j, j \in \mathbb{Z}_-\}, \text{ if } y_{-1} = \sup\{Y\} < +\infty, Y = \{y_j, j \in \mathbb{Z}_+\}, \text{ if } y_1 = \inf\{Y\} > -\infty.$$

Clearly, the notation $Y = \{y_j, j \in \mathbb{J}\}$ serves all these cases.

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Let $W_2^{\pm 1}(\mathbb{R})$, $W_2^{\pm 2}(\mathbb{R})$ be Sobolev spaces. Define in the Hilbert space $L_2(\mathbb{R})$ the linear operators

$$\text{dom}(A_0) = \{f \in W_2^2(\mathbb{R}) : f(y) = 0, y \in Y\}, A_0 := -\frac{d^2}{dx^2}, \quad (2)$$

$$\text{dom}(A') = \{g \in W_2^2(\mathbb{R}) : g'(y) = 0, y \in Y\}, A' := -\frac{d^2}{dx^2}, \quad (3)$$

$$\text{dom}(H_0) = \{f \in W_2^2(\mathbb{R}) : f(y) = 0, f'(y) = 0, y \in Y\}, H_0 := -\frac{d^2}{dx^2}. \quad (4)$$

The operators A_0 , A' , and H_0 are basic for investigations of Hamiltonians on the real line corresponding to the δ , δ' and $\delta - \delta'$ interactions, respectively ([1]). They are symmetric, densely defined, closed, and nonnegative ([1]), and are restrictions of the selfadjoint and nonnegative operator A defined by

$$\text{dom}(A) = W_2^2(\mathbb{R}), A = -\frac{d^2}{dx^2}. \quad (5)$$

In addition, the operators A_0 and A' are symmetric extensions of the operator H_0 . The adjoint operators are given by

$$\begin{aligned} \text{dom}(A_0^*) &= W_2^1(\mathbb{R}) \cap W_2^2(\mathbb{R} \setminus Y), A_0^* = -\frac{d^2}{dx^2}, \\ \text{dom}(A'^*) &= \{g \in W_2^2(\mathbb{R}) : g'(y+) = g'(y-), y \in Y\}, A'^* = -\frac{d^2}{dx^2}, \\ \text{dom}(H_0^*) &= W_2^2(\mathbb{R} \setminus Y), H_0^* = -\frac{d^2}{dx^2}. \end{aligned} \quad (6)$$

It is well known ([1]) that

$$\delta_y = \delta(x - y) \in W_2^{-1}(\mathbb{R}) \setminus L_2(\mathbb{R}), (\delta_y)' = \delta'(x - y) \in W_2^{-2}(\mathbb{R}) \setminus W_2^{-1}(\mathbb{R}), \quad (7)$$

where $\delta(x - y)$ and $\delta'(x - y)$ are the delta-function and its derivative.

We have the following chain of Hilbert spaces $W_2^2(\mathbb{R}) \subset W_2^1(\mathbb{R}) \subset L_2(\mathbb{R}) \subset W_2^{-1}(\mathbb{R}) \subset W_2^{-2}(\mathbb{R})$. The triplets $W_2^2(\mathbb{R}) \subset L_2(\mathbb{R}) \subset W_2^{-2}(\mathbb{R})$ and $W_2^1(\mathbb{R}) \subset L_2(\mathbb{R}) \subset W_2^{-1}(\mathbb{R})$ are rigged Hilbert spaces, i.e., the Hilbert space $W_2^{-2}(\mathbb{R})$ ($W_2^{-1}(\mathbb{R})$, respectively) is the set of all continuous anti-linear functionals on $W_2^2(\mathbb{R})$ (on $W_2^1(\mathbb{R})$, respectively, [6]).

Let $Y = \{y_j \in \mathbb{R}, j \in \mathbb{J}\}$ be a discrete set in \mathbb{R} satisfying (1). Define the following subspaces

$$\begin{aligned} \Phi &= \overline{\text{span}}_{W_2^{-2}(\mathbb{R})} \{\delta'(x - y), y \in Y\} \quad (\text{the closure in } W_2^{-2}(\mathbb{R})), \\ \Psi_{-1} &= \overline{\text{span}}_{W_2^{-1}(\mathbb{R})} \{\delta(x - y), y \in Y\} \quad (\text{the closure in } W_2^{-1}(\mathbb{R})), \\ \Psi_{-2} &= \overline{\text{span}}_{W_2^{-2}(\mathbb{R})} \{\delta(x - y), y \in Y\} \quad (\text{the closure in } W_2^{-2}(\mathbb{R})), \\ \Omega &= \overline{\text{span}}_{W_2^{-2}(\mathbb{R})} \{\delta(x - y), \delta'(x - y), y \in Y\} \quad (\text{the closure in } W_2^{-2}(\mathbb{R})). \end{aligned}$$

Clearly, $\Psi_{-1} \subseteq \Psi_{-2}$. It is known ([1]) that $\Phi \cap L_2(\mathbb{R}) = \{0\}$, $\Psi_{-2} \cap L_2(\mathbb{R}) = \{0\}$, $\Omega \cap L_2(\mathbb{R}) = \{0\}$. Therefore, the operators A' , A_0 , and H_0 are densely defined and

$$\text{dom}(A') = \{f \in W_2^2(\mathbb{R}) : (f, \varphi) = 0, \varphi \in \Phi\}, \quad (8)$$

$$\text{dom}(A_0) = \{f \in W_2^2(\mathbb{R}) : (f, \psi) = 0, \psi \in \Psi_{-2}\}, \quad (9)$$

$$\text{dom}(H_0) = \{f \in W_2^2(\mathbb{R}) : (f, \omega) = 0, \omega \in \Omega\}. \quad (10)$$

In this paper we establish some new connections between the Sobolev spaces $W_2^1(\mathbb{R})$, $W_2^2(\mathbb{R})$ and the Hilbert space ℓ_2 . Using these connections we prove that

- $\Psi_{-1} = \Psi_{-2}$;
- the systems $\{\delta(x - y_j)\}_{j \in \mathbb{J}}$, $\{\delta'(x - y_j)\}_{j \in \mathbb{J}}$, $\{\delta(x - y_j), \delta'(x - y_j)\}_{j \in \mathbb{J}}$ form the Riesz bases of the subspaces Ψ_{-2} , Φ , and Ω , respectively;
- the Friedrichs and Kreĭn extensions of A' , A_0 , and H_0 are mutually transversal.

Finally, we construct *basic positive boundary triplets* ([2], [3]) for A'^* , A_0^* , and H_0^* and give descriptions of all nonnegative selfadjoint extensions.

2. The Sobolev spaces $W_2^1(\mathbb{R})$, $W_2^2(\mathbb{R})$ and the Hilbert space ℓ_2 . In this Section we establish some connections between the Hilbert spaces $W_2^1(\mathbb{R})$, $W_2^2(\mathbb{R})$ and the Hilbert space $\ell_2(\mathbb{J})$.

Proposition 1. *Suppose Y is infinite and (1) holds. Then*

- 1) *If $g \in W_2^2(\mathbb{R})$ then the sequences $\{g(y_j), y_j \in Y\}$ and $\{g'(y_j), y_j \in Y\}$ belong to $\ell_2(\mathbb{J})$. Moreover, there exists a positive constants c such that*

$$\|\{g(y_j)\}\|_{\ell_2(\mathbb{J})} \leq c\|g\|_{W_2^2(\mathbb{R})}, \quad \|\{g'(y_j)\}\|_{\ell_2(\mathbb{J})} \leq c\|g\|_{W_2^2(\mathbb{R})}, \quad \forall g \in W_2^2(\mathbb{R}).$$

- 2) *If $\{a_j, j \in \mathbb{J}\}$, $\{b_j, j \in \mathbb{J}\} \in \ell_2(\mathbb{J})$ then there exists a function $g \in W_2^2(\mathbb{R})$ such that $g(y_j) = a_j$, $g'(y_j) = b_j$, $\forall j \in \mathbb{J}$.*

Proof. 1) Let $g \in W_2^2(\mathbb{R})$. One can verify that the equalities

$$g(y_j) = \frac{1}{2} \int_{\mathbb{R}} e^{-|x-y_j|} (g(x) - \text{sgn}(x-y_j)g'(x)) dx, \quad g'(y_j) = \frac{1}{2} \int_{\mathbb{R}} e^{-|x-y_j|} (g'(x) - \text{sgn}(x-y_j)g''(x)) dx$$

hold. Further

$$|g(y_j)| \leq \frac{1}{2} \sum_{n \in \mathbb{J}} \left(\int_{y_{n-1}}^{y_n} e^{-2|x-y_j|} dx \right)^{1/2} \left(\int_{y_{n-1}}^{y_n} |g(x) - \text{sgn}(x-y_j)g'(x)|^2 dx \right)^{1/2} = \frac{1}{2} \sum_{n \in \mathbb{J}} M_{jn} h_n,$$

where $\{h_n, n \in \mathbb{J}\} \in \ell_2(\mathbb{J})$ because

$$\begin{aligned} \sum_{n \in \mathbb{J}} h_n^2 &= \sum_{n \in \mathbb{J}} \int_{y_{n-1}}^{y_n} |g(x) - \text{sgn}(x-y_j)g'(x)|^2 dx \leq \\ &\leq 2 \sum_{n \in \mathbb{J}} \int_{y_{n-1}}^{y_n} (|g(x)|^2 + |g'(x)|^2) dx \leq 2\|g\|_{W_2^2(\mathbb{R})}^2 < \infty, \\ \sum_{n \in \mathbb{J}} M_{jn} &= \sum_{n \in \mathbb{J}} \left(\int_{y_{n-1}}^{y_n} e^{-2|x-y_j|} dx \right)^{1/2} \leq \sum_{n \in \mathbb{J}} \frac{1}{\sqrt{2}} \left\{ \begin{array}{ll} e^{-|y_n-y_j|}, & n \leq j, \\ e^{-|y_{n-1}-y_j|}, & n \geq j+1, \end{array} \right\} \leq \\ &\leq \sqrt{2} \sum_{m \in \mathbb{Z}} e^{-|m|d} = \sqrt{2} \frac{e^d + 1}{e^d - 1}. \end{aligned}$$

Let M be the linear operator in $\ell_2(\mathbb{J})$ given by the matrix $\|M_{jn}\|_{j,n \in \mathbb{J}}$. Then the Holmgren bound of M ([1, Appendix C]) satisfies

$$\|M\|_H = \left(\sup_{j \in \mathbb{J}} \sum_{n \in \mathbb{J}} |M_{jn}| \right)^{1/2} \left(\sup_{n \in \mathbb{J}} \sum_{j \in \mathbb{J}} |M_{jn}| \right)^{1/2} \leq \sqrt{2} \frac{e^d + 1}{e^d - 1} < \infty.$$

It follows that M is bounded in $\ell_2(\mathbb{J})$. Hence

$$\begin{aligned} \sum_{j \in \mathbb{J}} |g(y_j)|^2 &\leq \frac{1}{4} \sum_{j \in \mathbb{J}} \left(\sum_{n \in \mathbb{J}} M_{jn} h_n \right)^2 = \frac{1}{4} \|Mh\|_{\ell_2(\mathbb{J})}^2 \leq \\ &\leq \frac{1}{4} \|M\|_H^2 \|g\|_{W_2^2(\mathbb{R})}^2 \leq \left(\frac{1}{\sqrt{2}} \frac{e^d + 1}{e^d - 1} \right)^2 \|g\|_{W_2^2(\mathbb{R})}^2 = c_1^2 \|g\|_{W_2^2(\mathbb{R})}^2 < \infty. \end{aligned} \quad (11)$$

Similarly $\sum_{j \in \mathbb{J}} |g'(y_j)|^2 \leq c_2^2 \|g\|_{W_2^2(\mathbb{R})}^2 < \infty$. So, $\{g(y_j), y_j \in Y\}, \{g'(y_j), y_j \in Y\} \in \ell_2(\mathbb{J})$.

2) Let

$$f_\alpha(t) = \begin{cases} e \cdot \exp\left(\frac{\alpha^2}{t^2 - \alpha^2}\right) \frac{-\alpha^2(a+bt)}{t^2 - \alpha^2}, & |t| \leq \alpha; \\ 0, & \text{otherwise.} \end{cases}$$

Clearly $f_\alpha(t) \in W_2^2(\mathbb{R})$ and $f_\alpha(0) = a$. Further

$$f'_\alpha(t) = \begin{cases} e \cdot \exp\left(\frac{\alpha^2}{t^2 - \alpha^2}\right) \frac{\alpha^2}{(t^2 - \alpha^2)^3} (bt^4 + 2at^3 + 2b\alpha^2 t^2 + b\alpha^4), & |t| \leq \alpha; \\ 0, & \text{otherwise,} \end{cases}$$

and $f'_\alpha(0) = b$.

$$f''_\alpha(t) = \begin{cases} e \cdot \exp\left(\frac{\alpha^2}{t^2 - \alpha^2}\right) \frac{\alpha^2(-2bt^7 - 6at^6 - 12b\alpha^2 t^5 - 4a\alpha^2 t^4 - 2b\alpha^4 t^3 + 6a\alpha^4 t^2 + 8b\alpha^6 t)}{(t^2 - \alpha^2)^5}, & |t| \leq \alpha; \\ 0, & \text{otherwise.} \end{cases}$$

Let $\{a_k, k \in \mathbb{J}\}, \{b_k, k \in \mathbb{J}\} \in \ell_2(\mathbb{J})$,

$$g_k(x) = f_{d/2}(x - y_k) = \begin{cases} e \cdot \exp\left(\frac{(d/2)^2}{(x - y_k)^2 - (d/2)^2}\right) \frac{-(d/2)^2(a_k + b_k(x - y_k))}{(x - y_k)^2 - (d/2)^2}, & |x - y_k| \leq d/2; \\ 0, & \text{otherwise,} \end{cases}$$

and $g(x) = \sum_{k \in \mathbb{J}} g_k(x)$, then $g(y_k) = a_k, g'(y_k) = b_k$. Now we show that the function $g(x)$ belongs to $W_2^2(\mathbb{R})$.

$$\begin{aligned} \int_{\mathbb{R}} |g(x)|^2 dx &= \int_{\mathbb{R}} \sum_{k \in \mathbb{J}} |g_k(x)|^2 dx \leq \\ &\leq \sum_{k \in \mathbb{J}} \int_{y_k - d/2}^{y_k + d/2} e^2 \cdot \exp\left(\frac{2(d/2)^2}{(x - y_k)^2 - (d/2)^2}\right) \frac{(d/2)^4 |a_k + b_k(x - y_k)|^2}{((x - y_k)^2 - (d/2)^2)^2} dx = \\ &= \sum_{k \in \mathbb{J}} e^2 (d/2)^4 \int_{-d/2}^{d/2} \exp\left(\frac{2(d/2)^2}{t^2 - (d/2)^2}\right) \frac{|a_k + b_k t|^2}{(t^2 - (d/2)^2)^2} dt \leq \\ &\leq 2e^2 (d/2)^4 \sum_{k \in \mathbb{J}} \left[|a_k|^2 \int_{-d/2}^{d/2} \exp\left(\frac{2(d/2)^2}{t^2 - (d/2)^2}\right) \frac{dt}{(t^2 - (d/2)^2)^2} + \right. \end{aligned}$$

$$+ |b_k|^2 \int_{-d/2}^{d/2} \exp \left(\frac{2(d/2)^2}{t^2 - (d/2)^2} \right) \frac{t^2 dt}{(t^2 - (d/2)^2)^2} \Big].$$

Set

$$I_1 = \int_{-d/2}^{d/2} \exp \left(\frac{2(d/2)^2}{t^2 - (d/2)^2} \right) \frac{dt}{(t^2 - (d/2)^2)^2}, \quad I_2 = \int_{-d/2}^{d/2} \exp \left(\frac{2(d/2)^2}{t^2 - (d/2)^2} \right) \frac{t^2 dt}{(t^2 - (d/2)^2)^2},$$

then we obtain $\int_{\mathbb{R}} |g(x)|^2 dx \leq 2e^2(d/2)^4 \left(\|a\|_{\ell_2(\mathbb{J})}^2 I_1 + \|b\|_{\ell_2(\mathbb{J})}^2 I_2 \right) < \infty$. Similarly

$$\begin{aligned} \int_{\mathbb{R}} |g'(x)|^2 dx &\leq e^2(d/2)^4 \left(\|a\|_{\ell_2(\mathbb{J})}^2 P_1 + \|b\|_{\ell_2(\mathbb{J})}^2 P_2 \right) < \infty, \\ \int_{\mathbb{R}} |g''(x)|^2 dx &\leq e^2(d/2)^4 \left(\|a\|_{\ell_2(\mathbb{J})}^2 S_1 + \|b\|_{\ell_2(\mathbb{J})}^2 S_2 \right) < \infty. \end{aligned}$$

So, $g(x) \in W_2^2(\mathbb{R})$. □

Corollary 1. *If $f \in W_2^1(\mathbb{R})$ then the sequence $\{f(y_j), y_j \in Y\}$ belongs to $\ell_2(\mathbb{J})$.*

Proof. Due to inequality (11) we have

$$\|\{f(y_j), y_j \in Y\}\|_{\ell_2(\mathbb{J})}^2 \leq \left(\frac{1}{\sqrt{2}} \frac{e^d + 1}{e^d - 1} \right)^2 \|f\|_{W_2^1(\mathbb{R})}^2 < \infty. \quad \square$$

Proposition 2. *If $f \in W_2^1(\mathbb{R} \setminus Y)$ then the sequence $\{f(y_j+) - f(y_j-), y_j \in Y\}$ belongs to $\ell_2(\mathbb{J})$.*

Proof. Let $g(x)$ from $W_2^1(\mathbb{R} \setminus Y)$ be real, then the equalities

$$\begin{aligned} g^2(y_j-) - g^2(y_{j-1}+)e^{-(y_j-y_{j-1})} &= \int_{y_{j-1}+}^{y_j-} e^{-|x-y_j|} (g^2(x) + 2g(x)g'(x)) dx, \\ g^2(y_{j-1}+) - g^2(y_j-)e^{-(y_j-y_{j-1})} &= \int_{y_{j-1}+}^{y_j-} e^{-|x-y_{j-1}|} (g^2(x) - 2g(x)g'(x)) dx \end{aligned} \quad (12)$$

hold. From (12) we have

$$\begin{aligned} &(g^2(y_j-) + g^2(y_{j-1}+))(1 - e^{-(y_j-y_{j-1})}) = \\ &= \int_{y_{j-1}+}^{y_j-} [g^2(x)(e^{-|x-y_j|} + e^{-|x-y_{j-1}|}) + 2g(x)g'(x)(e^{-|x-y_j|} - e^{-|x-y_{j-1}|})] dx \leq \\ &\leq \int_{y_{j-1}+}^{y_j-} [2g^2(x) + 4|g(x)g'(x)|] dx \leq \int_{y_{j-1}+}^{y_j-} [4g^2(x) + 2g'^2(x)] dx. \end{aligned}$$

Since $1 - e^{-(y_j-y_{j-1})} \geq 1 - e^{-d}$, we obtain

$$\sum_{j \in \mathbb{J}} (g^2(y_j-) + g^2(y_{j-1}+))(1 - e^{-d}) \leq \int_{\mathbb{R} \setminus Y} [4g^2(x) + 2g'^2(x)] dx,$$

and hence

$$\sum_{j \in \mathbb{J}} (g^2(y_{j-}) + g^2(y_{j+})) < \infty. \quad (13)$$

Consider $f(x) = f_R(x) + if_I(x)$ from $W_2^1(\mathbb{R} \setminus Y)$, then for $f_R(x)$ and $f_I(x)$ inequality (13) holds and hence $\sum_{j \in \mathbb{J}} (|f(y_{j-})|^2 + |f(y_{j+})|^2) < \infty$.

Since $|f(y_{j+}) - f(y_{j-})|^2 \leq 2(|f(y_{j-})|^2 + |f(y_{j+})|^2)$, we obtain that $\{f(y_{j+}) - f(y_{j-}), j \in \mathbb{J}\} \in \ell_2(\mathbb{J})$. \square

3.1. Applications. Let A be an unbounded self-adjoint operator in a Hilbert space H and let $H_{+2} \subset H_{+1} \subset H \subset H_{-1} \subset H_{-2}$ be the chain of rigged Hilbert spaces ([6]) constructed by means of A : $H_{+2} = \text{dom}(A)$, $H_{+1} = \text{dom}(|A|^{1/2})$ with norms $\|f\|_k = (|A|^{k/2}f\|^2 + \|f\|^2)^{1/2}$, $k \in \{1, 2\}$. The “negative” Hilbert spaces H_{-k} ($k \in \{1, 2\}$) are the completion of H with respect to the norms

$$\|f\|_{-k} = \sup_{g \in H_k, \|g\|_k=1} |(f, g)|.$$

The operator A has an extension $\mathbf{A} \in \mathcal{L}(H_k, H_{k-2})$, $k \in \{0, 1\}$ ($H_0 := H$) and $|\mathbf{A}|^{1/2} \in \mathcal{L}(H_k, H_{k-1})$, $k \in \{-1, 0\}$ is an extension of $|A|^{1/2}$. The resolvent $R_z = (A - zI)^{-1}$, $z \in \rho(A)$ has an extension $\mathbf{R}_z = (\mathbf{A} - zI)^{-1} \in \mathcal{L}(H_{-k}, H_{-k+2})$, $k \in \{0, 1, 2\}$. Let Φ be a subspace in H_{-2} such that

$$\Phi \cap H = \{0\}, \quad (14)$$

then the operator A' defined by

$$\text{dom}(A') = \left\{ f \in H_{+2} : (f, \varphi) = 0 \text{ for all } \varphi \in \Phi \right\}, \quad A' = A \upharpoonright \text{dom}(A') \quad (15)$$

is a closed, densely defined symmetric operator with the defect numbers equal to $\dim \Phi$. For the defect subspace $\mathfrak{N}_z(A') = \ker(A'^* - zI)$ the formula $\mathfrak{N}_z(A') = \mathbf{R}_z \Phi$ holds.

Suppose that A is a nonnegative operator. Then as it is well known, A is the Friedrichs extension of A' if and only if $\Phi \cap H_{-1} = \{0\}$.

The operator A given by (5) is nonnegative and self-adjoint in $H = L_2(\mathbb{R})$. Set for convenience

$$H_{+2} = \text{dom}(A) = W_2^2(\mathbb{R}), \quad H_{+1} = \text{dom}(A^{1/2}) = W_2^1(\mathbb{R}), \quad H_{-1} = W_2^{-1}(\mathbb{R}), \quad H_{-2} = W_2^{-2}(\mathbb{R}).$$

As mentioned above, (see (7)) one has $\delta_y = \delta(x - y) \in H_{-1} \setminus H$, $(\delta_y)' = \delta'(x - y) \in H_{-2} \setminus H_{-1}$. Let $Y = \{y_j \in \mathbb{R}, j \in \mathbb{J}\}$ be a discrete set in \mathbb{R} satisfying (1).

The defect subspaces of A' , A_0 , and H_0 are given by (see [1])

$$\begin{aligned} \mathfrak{N}_\lambda(A') &= \overline{\text{span}} \left\{ \text{sgn}(x - y_j) \exp(i\sqrt{\lambda}|x - y_j|), j \in \mathbb{J} \right\}, \\ \mathfrak{N}_\lambda(A_0) &= \overline{\text{span}} \{ \exp(i\sqrt{\lambda}|x - y_j|), j \in \mathbb{J} \}, \\ \mathfrak{N}_\lambda(H_0) &= \overline{\text{span}} \{ \exp(i\sqrt{\lambda}|x - y_j|), \text{sgn}(x - y_j) \exp(i\sqrt{\lambda}|x - y_j|), j \in \mathbb{J} \}, \end{aligned}$$

respectively.

3.2. Riesz bases. Recall [8] that a countable set of vectors $\{g_j\}$ forms a *Riesz basis* in a separable Hilbert space \mathfrak{H} if $\overline{\text{span}}\{g_j\} = \mathfrak{H}$ and there exist two positive numbers a_1 and a_2

such that for each positive integer n and each collection of complex numbers $\{c_1, c_2, \dots, c_n\}$ one has

$$a_2 \sum_{j=1}^n |c_j|^2 \leq \left\| \sum_{j=1}^n c_j g_j \right\|_{\mathfrak{H}}^2 \leq a_1 \sum_{j=1}^n |c_j|^2.$$

Since $\{e_j\}_{j \in \mathbb{J}}$ forms a Riesz basis \mathfrak{H} , every $f \in \mathfrak{H}$ has an expansion $f = \sum_{j \in \mathbb{J}} c_j e_j$ with $\sum_{j \in \mathbb{J}} |c_j|^2 < \infty$, and conversely, if $\sum_{j \in \mathbb{J}} |c_j|^2 < \infty$ then the series $\sum_{j \in \mathbb{J}} c_j e_j$ converges in \mathfrak{H} .

Proposition 3. *The systems $\{\delta(x - y_j)\}_{j \in \mathbb{J}}$, $\{\delta'(x - y_j)\}_{j \in \mathbb{J}}$ and $\{\delta(x - y_j), \delta'(x - y_j)\}_{j \in \mathbb{J}}$ form Riesz bases of the subspaces Ψ_{-2} , Φ and Ω , respectively.*

Proof. We will show that $\{\delta(x - y_j), \delta'(x - y_j)\}_{j \in \mathbb{J}}$ is a Riesz basis of the subspace Ω .

Let $f = \sum_j a_j \delta(x - y_j) + b_j \delta'(x - y_j) \in \Omega$, where $\{a_j\}_{j \in \mathbb{J}}, \{b_j\}_{j \in \mathbb{J}} \in l_2(\mathbb{J})$, then using the first statement of Propositions (1) we get

$$\begin{aligned} \left\| \sum_j a_j \delta(x - y_j) + b_j \delta'(x - y_j) \right\|_{H_{-2}}^2 &= \sup_{g \in H_{+2}, \|g\|_{H_{+2}}=1} |(f, g)|^2 = \\ &= \sup_{g \in H_{+2}, \|g\|_{H_{+2}}=1} \left| \sum_j a_j g(y_j) + b_j g'(y_j) \right|^2 \leq \\ &\leq 2 \left(\sup_{g \in H_{+2}, \|g\|_{H_{+2}}=1} \sum_j |a_j|^2 \sum_j |g(y_j)|^2 + \sup_{g \in H_{+2}, \|g\|_{H_{+2}}=1} \sum_j |b_j|^2 \sum_j |g'(y_j)|^2 \right) = \\ &= C_1 \|a\|_{\ell_2(\mathbb{J})}^2 + C_2 \|b\|_{\ell_2(\mathbb{J})}^2 < \infty. \end{aligned}$$

On the other hand, using the second statement of Proposition (1) we have

$$\sup_{g \in H_{+2}, \|g\|_{H_{+2}}=1} \left| \sum_j a_j g(y_j) + b_j g'(y_j) \right|^2 \geq \left| \sum_j a_j \frac{\overline{a_j}}{\|a\|} + b_j \frac{\overline{b_j}}{\|b\|} \right|^2 = (\|a\|_{\ell_2(\mathbb{J})} + \|b\|_{\ell_2(\mathbb{J})})^2.$$

Therefore, the system $\{\delta(x - y_j), \delta'(x - y_j)\}_{j \in \mathbb{J}}$ forms a Riesz basis of the subspace Ω .

The other statements can be proved similarly. \square

3.3. Transversalness of the Friedrichs and Kreĭn extensions. Let H be a separable Hilbert space and let \mathcal{A} be a densely defined closed symmetric and nonnegative operator. Denote by \mathcal{A}^* the adjoint to \mathcal{A} , by $\tilde{\mathcal{A}}$ a nonnegative selfadjoint extension of \mathcal{A} . It is well known ([1]) that the operator \mathcal{A} admits at least one nonnegative self-adjoint extension \mathcal{A}_F called the *Friedrichs extension*, which is defined as follows. Denote by $\mathcal{A}[\cdot, \cdot]$ the closure of the sesquilinear form (see [10])

$$\mathcal{A}[f, g] = (\mathcal{A}f, g), \quad f, g \in \text{dom}(\mathcal{A}),$$

and let $\mathcal{D}[\mathcal{A}]$ be the domain of this closure. According to the first representation theorem ([10]) there exists a nonnegative self-adjoint operator \mathcal{A}_F associated with $\mathcal{A}[\cdot, \cdot]$, i.e., $(\mathcal{A}_F h, \psi) = \mathcal{A}[h, \psi]$, $\psi \in \mathcal{D}[\mathcal{A}]$, $h \in \text{dom}(\mathcal{A}_F)$. Clearly $\mathcal{A} \subset \mathcal{A}_F \subset \mathcal{A}^*$, where \mathcal{A}^* is the adjoint operator to \mathcal{A} . It follows that $\text{dom}(\mathcal{A}_F) = \mathcal{D}[\mathcal{A}] \cap \text{dom}(\mathcal{A}^*)$. By the second representation theorem, the equalities $\mathcal{D}[\mathcal{A}] = \text{dom}(\mathcal{A}_F^{1/2})$ and $\mathcal{A}[\phi, \psi] = (\mathcal{A}_F^{1/2} \phi, \mathcal{A}_F^{1/2} \psi)$, $\phi, \psi \in \mathcal{D}[\mathcal{A}]$ hold.

M. G. Kreĭn in [14] discovered one more nonnegative self-adjoint extension of \mathcal{A} having extremal property to be minimal (in the sense of the corresponding quadratic forms) among others nonnegative self-adjoint extensions of \mathcal{A} . This extension we will denote by \mathcal{A}_K and call it the *Kreĭn extension* of \mathcal{A} .

Recall that two selfadjoint extensions $\tilde{\mathcal{A}}_1$ and $\tilde{\mathcal{A}}_2$ of a symmetric operator \mathcal{A} are called disjoint if $\text{dom}(\tilde{\mathcal{A}}_1) \cap \text{dom}(\tilde{\mathcal{A}}_2) = \text{dom}(\mathcal{A})$ and *transversal* if $\text{dom}(\tilde{\mathcal{A}}_1) + \text{dom}(\tilde{\mathcal{A}}_2) = \text{dom}(\mathcal{A}^*)$. We need the following statement ([4], [16]).

Proposition 4. *The Friedrichs and Kreĭn extensions \mathcal{A}_F and \mathcal{A}_K are transversal if $\mathfrak{N}_z \subset \text{dom}(\mathcal{A}_K^{1/2})$ at least for one (hence for all) $z \in \mathbb{C} \setminus [0, \infty)$.*

In what follows we will consider our operators (2)–(4) in the p -representation by means of the Fourier transform

$$\widehat{f}(p) = (\mathcal{F}f)(p) = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} f(x) e^{-ipx} dx.$$

Note that

$$(\mathcal{F}\delta_y)(p) = \widehat{\delta}_y(p) = \frac{1}{\sqrt{2\pi}} e^{-ipy}, \quad (\mathcal{F}\delta'_y)(p) = \widehat{\delta}'_y(p) = \frac{ipe^{-ipy}}{\sqrt{2\pi}},$$

and the Fourier transformation \mathcal{F} is a unitary operator from $L_2(\mathbb{R}, dx)$ onto $L_2(\mathbb{R}, dp)$. In addition

$$\begin{aligned} \text{dom}(\widehat{A}) &= \widehat{H}_{+2} = \left\{ \widehat{f} \in L_2(\mathbb{R}, dp) : \int_{\mathbb{R}} |\widehat{f}(p)|^2 (p^4 + 1) dp < \infty \right\}, \quad (\widehat{A}\widehat{f})(p) = p^2 \widehat{f}(p), \\ \text{dom}(\widehat{A}^{1/2}) &= \widehat{H}_{+1} = \left\{ \widehat{f} \in L_2(\mathbb{R}, dp) : \int_{\mathbb{R}} |\widehat{f}(p)|^2 (p^2 + 1) dp < \infty \right\}, \quad (\widehat{A}^{1/2}\widehat{f})(p) = |p| \widehat{f}(p), \\ \text{dom}(\widehat{A}') &= \left\{ \widehat{f} \in \widehat{H}_{+2} : \int_{\mathbb{R}} p e^{ipy_j} \widehat{f}(p) dp = 0, \quad j \in \mathbb{J} \right\}, \quad (\widehat{A}'\widehat{f})(p) = p^2 \widehat{f}(p) \\ \text{dom}(\widehat{A}_0) &= \left\{ \widehat{f} \in \widehat{H}_{+2} : \int_{\mathbb{R}} e^{ipy_j} \widehat{f}(p) dp = 0, \quad j \in \mathbb{J} \right\}, \quad (\widehat{A}_0\widehat{f})(p) = p^2 \widehat{f}(p), \\ \text{dom}(\widehat{H}_0) &= \left\{ \widehat{f} \in \widehat{H}_{+2} : \int_{\mathbb{R}} e^{ipy_j} \widehat{f}(p) dp = 0, \quad \int_{\mathbb{R}} p e^{ipy_j} \widehat{f}(p) dp = 0 \quad j \in \mathbb{J} \right\}, \quad (\widehat{H}_0\widehat{f})(p) = p^2 \widehat{f}(p). \end{aligned}$$

The pairs of operators $\langle \widehat{A}, A \rangle$, $\langle \widehat{A}', A' \rangle$, $\langle \widehat{A}_0, A_0 \rangle$, and $\langle \widehat{H}_0, H_0 \rangle$ are unitary equivalent since $\mathcal{F}A = \widehat{A}\mathcal{F}$. Clearly, $\widehat{H}_{+2} = \mathcal{F}H_{+2}$, $\widehat{H}_{+1} = \mathcal{F}H_{+1}$,

$$\begin{aligned} \widehat{H}_{-1} &= \mathcal{F}H_{-1} = \left\{ \widehat{f}(p) : \frac{\widehat{f}(p)}{p^2 + 1} \in \widehat{H}_{+1} \right\}, \quad \|\widehat{f}(p)\|_{\widehat{H}_{-1}}^2 = \int_{\mathbb{R}} \frac{|\widehat{f}(p)|^2}{p^2 + 1} dp, \\ \widehat{H}_{-2} &= \mathcal{F}H_{-2} = \left\{ \widehat{f}(p) : \frac{\widehat{f}(p)}{p^4 + 1} \in \widehat{H}_{+2} \right\}, \quad \|\widehat{f}(p)\|_{\widehat{H}_{-2}}^2 = \int_{\mathbb{R}} \frac{|\widehat{f}(p)|^2}{p^4 + 1} dp, \\ \widehat{\mathbf{A}}\widehat{f} &= p^2 \widehat{f}(p), \quad \widehat{\mathbf{A}} : \widehat{H}_{+1} \rightarrow \widehat{H}_{-1}, \quad L_2(\mathbb{R}) \rightarrow \widehat{H}_{-2}. \end{aligned}$$

Let $\widehat{\Phi} = \mathcal{F}\Phi$, $\widehat{\Psi}_{-1} = \mathcal{F}\Psi_{-1}$, $\widehat{\Psi}_{-2} = \mathcal{F}\Psi_{-2}$, $\widehat{\Omega} = \mathcal{F}\Omega$. Then

$$\begin{aligned} \widehat{\Phi} &= \overline{\text{span}}_{\widehat{H}_{-2}} \{ p e^{-ipy_j}, \quad j \in \mathbb{J} \}, \quad \widehat{\Psi}_{-2} = \overline{\text{span}}_{\widehat{H}_{-2}} \{ e^{-ipy_j}, \quad j \in \mathbb{J} \}, \\ \widehat{\Psi}_{-1} &= \overline{\text{span}}_{\widehat{H}_{-1}} \{ e^{-ipy_j}, \quad j \in \mathbb{J} \}, \quad \widehat{\Omega} = \overline{\text{span}}_{\widehat{H}_{-2}} \{ e^{-ipy_j}, p e^{-ipy_j}, \quad j \in \mathbb{J} \}. \end{aligned}$$

Theorem 1. *The equality $\Psi_{-2} = \Psi_{-1}$ holds.*

Proof. Let $f \in \Psi_{-2}$, then $f = \sum_k c_k \delta(x - y_k)$, $\sum_{k \in \mathbb{J}} |c_k|^2 < \infty$. Using Corollary (1) we have

$$\|f\|_{H_{-1}}^2 = \sup_{g \in H_1, \|g\|_1=1} |(f, g)|^2 = \sup_{g \in H_1, \|g\|_1=1} \left| \sum_{k \in \mathbb{J}} c_k g(y_k) \right|^2 \leq \sum_{k \in \mathbb{J}} |c_k|^2 \sup_{g \in H_1, \|g\|_1=1} \sum_{k \in \mathbb{J}} |g(y_k)|^2 < \infty.$$

Therefore, $\Psi_{-2} \subset H_{-1}$ and $\Psi_{-2} = \Psi_{-1}$. \square

Corollary 2. *The systems $\{e^{-ipy_j}\}_{j \in \mathbb{J}}$, $\{pe^{-ipy_j}\}_{j \in \mathbb{J}}$ and $\{\frac{e^{-ipy_j}}{p^2+1}\}_{j \in \mathbb{J}}$, $\{\frac{pe^{-ipy_j}}{p^2+1}\}_{j \in \mathbb{J}}$ form Riesz bases of the subspaces $\widehat{\Psi}_{-1}$, $\widehat{\Phi}$ and $\widehat{\mathfrak{N}}_{-1}(\widehat{A}_0)$, $\widehat{\mathfrak{N}}_{-1}(\widehat{A}')$, respectively.*

Proof. Since the operator \mathcal{F} unitarily maps H_{-2} onto \widehat{H}_{-2} , by Proposition 3, the systems $\{e^{-ipy_j}\}_{j \in \mathbb{J}}$ and $\{pe^{-ipy_j}\}_{j \in \mathbb{J}}$ form Riesz bases of $\widehat{\Psi}_{-1}$ and $\widehat{\Phi}$, respectively. Let $\widehat{\mathfrak{N}}_{-1}(\widehat{A}') = \ker(\widehat{A}' + I)$, $\widehat{\mathfrak{N}}_{-1}(\widehat{A}_0) = \ker(\widehat{A}_0 + I)$. Then $\widehat{\mathfrak{N}}_{-1}(\widehat{A}') = (\widehat{A} + I)^{-1} \widehat{\Phi}$, $\widehat{\mathfrak{N}}_{-1}(\widehat{A}_0) = (\widehat{A} + I)^{-1} \widehat{\Psi}_{-1}$, and $\{\frac{pe^{-ipy_j}}{p^2+1}\}_{j \in \mathbb{J}}$ is a Riesz basis of $\widehat{\mathfrak{N}}_{-1}(\widehat{A}') \subset H$, $\{\frac{e^{-ipy_j}}{p^2+1}\}_{j \in \mathbb{J}}$ is a Riesz basis of $\widehat{\mathfrak{N}}_{-1}(\widehat{A}_0) \subset \widehat{H}_{+1}$. \square

Theorem 2. *The equality $\Phi \cap H_{-1} = \{0\}$ holds.*

Proof. Let $g \in \widehat{\Phi}$, then $g(p) = \sum_k c_k p e^{-ipy_k}$, but by Corollary (2) $\int_{\mathbb{R}} \frac{1}{p^2+1} |\sum_k c_k p e^{-ipy_k}|^2 dp = \infty$, hence g does not belong to \widehat{H}_{-1} , i.e. $\widehat{\Phi} \cap \widehat{H}_{-1} = \{0\}$ and $\Phi \cap H_{-1} = \{0\}$. \square

Corollary 3. *The Friedrichs and Kreĭn extensions of the operators H_0 , A' , A_0 are transversal.*

Proof. Let $u \in \widehat{\mathfrak{N}}_{-1}(\widehat{H}_0)$, then $u(p) = \sum_k a_k \frac{e^{-ipy_k}}{p^2+1} + b_k \frac{pe^{-ipy_k}}{p^2+1}$. Using Corollary (2) we have

$$\begin{aligned} \sup_{f \in \text{dom}(\widehat{H}_0)} \frac{|(\widehat{H}_0 f, u)|^2}{|(\widehat{H}_0 f, f)|^2} &= \sup_{f \in \text{dom}(\widehat{H}_0)} \frac{\left| \int_{\mathbb{R}} p^2 f(p) \overline{u(p)} dp \right|^2}{\int_{\mathbb{R}} p^2 |f(p)|^2 dp} \leq \sup_{f \in \text{dom}(\widehat{H}_0)} \frac{\int_{\mathbb{R}} p^4 |f(p)|^2 dp \int_{\mathbb{R}} |u(p)|^2 dp}{\int_{\mathbb{R}} p^2 |f(p)|^2 dp} \leq \\ &\leq \int_{\mathbb{R}} \left(\left| \sum_k \frac{a_k e^{-ipy_k}}{p^2+1} \right|^2 + \left| \sum_k \frac{b_k p e^{-ipy_k}}{p^2+1} \right|^2 \right) dp < \infty. \end{aligned}$$

So, $\widehat{\mathfrak{N}}_{-1}(\widehat{H}_0) \subset \text{dom}(\widehat{H}_{0K}^{1/2})$. Therefore, due to Proposition 4 the extensions \widehat{H}_{0F} and \widehat{H}_{0K} as well as H_{0F} and H_{0K} are transversal.

Transversalness of the Friedrichs and Kreĭn extensions of the operators A' and A_0 can be proved similarly. \square

Corollary 4. *The operator A is the Friedrichs extension of the operator A' .*

Proof. Since $\Phi \cap H_{-1} = \{0\}$, we get that $A'_F = A$. \square

3.4. Basic boundary triplets for operators A_0^* , A'^* and H_0^* . Let S be a closed densely defined symmetric operator with equal defect numbers in \mathfrak{H} . Let \mathcal{H} be some Hilbert space, Γ_1 and Γ_2 be linear mappings of $\text{dom}(S^*)$ into \mathcal{H} . A triplet $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$ is called a *boundary triplet for adjoint operator S^** ([7], [11], [9]), if

$$(S^* x, y) - (x, S^* y) = (\Gamma_1 x, \Gamma_0 y)_{\mathcal{H}} - (\Gamma_0 x, \Gamma_1 y)_{\mathcal{H}} \quad \text{for all } x, y \in \text{dom}(S^*), \quad (16)$$

and a mapping $\Gamma: x \mapsto \{\Gamma_0 x, \Gamma_1 x\}$, $x \in \text{dom}(S^*)$ is a surjection of $\text{dom}(S^*)$ onto $\mathcal{H} \oplus \mathcal{H}$.

Let S be a densely defined and nonnegative operator. Suppose that the Friedrichs and Kreĭn extensions of S are transversal. The boundary triplet $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$ for S^* is called *basic* ([2], [3] rigid for positive definite S [17]) if $\ker(\Gamma_0) = \text{dom}(S_F)$, $\ker(\Gamma_1) = \text{dom}(S_K)$. A basic boundary triplet is positive [2] and (see [3]) $S_K[x, y] = (S^*x, y) - (\Gamma_1 x, \Gamma_0 y)_{\mathcal{H}}$, $x, y \in \text{dom}(S^*)$.

Proposition 5 ([2]). *Let S be a densely defined and nonnegative operator with transversal Friedrichs and Kreĭn extensions and let $\Pi = \{\mathcal{H}, \Gamma_0, \Gamma_1\}$ be a basic boundary triplet for S^* . Then the mapping*

$$\Theta \mapsto S_\Theta := S^* \upharpoonright \Gamma^{-1}\Theta = S^* \upharpoonright \{f \in \text{dom}(S^*) : (\Gamma_0 f, \Gamma_1 f) \in \Theta\} \quad (17)$$

establishes a bijective correspondence between the set of all selfadjoint nonnegative linear relations Θ in \mathcal{H} and the set of all nonnegative selfadjoint extensions of $S_\Theta \subseteq S^$ of S .*

Assume that

(A) L_1 and L_2 are two closed densely defined operators in the Hilbert space \mathfrak{H} taking values in a Hilbert space H and such that $L_1 \subset L_2$.

Theorem 3 ([5]). *Let condition (A) be fulfilled. If the operator $\mathcal{A} = L_2^* L_1$ is densely defined and $\mathcal{A}^* = L_1^* L_2$, then*

- 1) *the operator $\mathcal{A}_F = L_1^* L_1$ is the Friedrichs extension of \mathcal{A} ;*
- 2) *the Friedrichs and Kreĭn extensions of \mathcal{A} are transversal;*
- 3) *the operator*

$$\begin{aligned} \text{dom } \mathcal{A}_K &= \{f \in \text{dom}(L_2) : P_{\overline{\text{ran}}(L_1)} L_2 f \in \text{dom}(L_2^*)\}, \\ \mathcal{A}_K f &= L_2^* P_{\overline{\text{ran}}(L_1)} L_2 f = L_1^* L_2 f, \quad f \in \text{dom}(\mathcal{A}_K) \end{aligned}$$

is the Kreĭn extension of \mathcal{A} and

$$\mathcal{D}[\mathcal{A}_K] = \text{dom}(L_2), \quad \mathcal{A}_K[u, v] = (P_{\overline{\text{ran}}(L_1)} L_2 u, P_{\overline{\text{ran}}(L_1)} L_2 v), \quad u, v \in \text{dom}(L_2).$$

The operator $\mathcal{A} = L_2^* L_1$ called an *operator in the divergence form*.

According to V. E. Lyantse and O. G. Storozh ([15]) a pair $\{\mathcal{H}, \Gamma\}$ is called a *boundary pair* for $L_1 \subset L_2$, if \mathcal{H} is a Hilbert space, $\Gamma \in \mathcal{L}(\text{dom}(L_2), \mathcal{H})$ and $\ker(\Gamma) = \text{dom}(L_1)$, $\text{ran}(\Gamma) = \mathcal{H}$. Let $\{\mathcal{H}, \Gamma\}$ be a boundary pair for $L_1 \subset L_2$. Then there exists a linear operator $G \in \mathcal{L}(\text{dom}(L_1^*), \mathcal{H})$ such that $\{\mathcal{H}, G\}$ is a boundary pair for $L_2^* \subset L_1^*$ and the Green identity

$$(L_1^* f, u)_H - (f, L_2 u)_{\mathfrak{H}} = (Gf, \Gamma u)_{\mathcal{H}}, \quad f \in \text{dom}(L_1^*), \quad u \in \text{dom}(L_2) \quad (18)$$

holds. The set $\{\mathcal{H}, G, \Gamma\}$ is called the *boundary triplet for a pair of the operators $L_1 \subset L_2$* .

Theorem 4. *Let condition (A) be fulfilled and let $\{\mathcal{H}, G, \Gamma\}$ be a boundary triplet for $L_1 \subset L_2$. If the operator $\mathcal{A} = L_2^* L_1$ is densely defined and $\mathcal{A}^* = L_1^* L_2$, then*

1. *the triplet $\Pi = \{\mathcal{H}, \Gamma, GP_{\overline{\text{ran}}(L_1)} L_2\}$ is a basic for \mathcal{A}^* ;*
2. *the mapping*

$$\Theta \mapsto \mathcal{A}_\Theta := \mathcal{A}^* \upharpoonright \Gamma^{-1}\Theta = \mathcal{A}^* \upharpoonright \{f \in \text{dom}(\mathcal{A}^*) : (\Gamma f, GP_{\overline{\text{ran}}(L_1)} L_2 f) \in \Theta\} \quad (19)$$

establishes a bijective correspondence between all nonnegative selfadjoint extensions of the operator \mathcal{A} and all nonnegative selfadjoint linear relations Θ in \mathcal{H} .

Proof. By Theorem 3, the Friedrichs and Kreĭn extensions of \mathcal{A} are transversal, $\mathcal{D}[\mathcal{A}_K] = \text{dom}(L_2)$, $\mathcal{D}[\mathcal{A}_F] = \text{dom}(L_1)$. Hence, $\{\mathcal{H}, \Gamma\}$ is a boundary pair for \mathcal{A} . Let $x \in \text{dom}(\mathcal{A}^*) = \text{dom}(L_1^* L_2)$ and $y \in \text{dom}(L_2)$. Then $P_{\overline{\text{ran}}(L_1)} L_2 x = L_2 x - P_{\ker(L_1^*)} L_2 x \in \text{dom}(L_1^*)$. Using Theorem 3 and (18) we get

$$\begin{aligned} \mathcal{A}_K[x, y] &= (P_{\overline{\text{ran}}(L_1)} L_2 x, L_2 u)_H = (L_1^* P_{\overline{\text{ran}}(L_1)} L_2 x, y)_{\mathfrak{H}} - (G P_{\overline{\text{ran}}(L_1)} L_2 x, \Gamma y)_{\mathcal{H}} = \\ &= (L_1^* L_2 x, y)_{\mathfrak{H}} - (G P_{\overline{\text{ran}}(L_1)} L_2 x, \Gamma y)_{\mathcal{H}} = (\mathcal{A}^* x, y)_{\mathfrak{H}} - (G P_{\overline{\text{ran}}(L_1)} L_2 x, \Gamma y)_{\mathcal{H}}. \end{aligned}$$

In particular, for $x, y \in \text{dom}(\mathcal{A}^*)$ taking into account that the form $\mathcal{A}_K[x, y]$ is Hermitian, we have $(\mathcal{A}^* x, y) - (x, \mathcal{A}^* y) = (G P_{\overline{\text{ran}}(L_1)} L_2 x, \Gamma y)_{\mathcal{H}} - (\Gamma x, G P_{\overline{\text{ran}}(L_1)} L_2 y)_{\mathcal{H}}$. Thus, the triplet $\Pi = \{\mathcal{H}, \Gamma, G P_{\overline{\text{ran}}(L_1)} L_2\}$ is basic for S^* . From Proposition 5 we get that statement (2) holds true. \square

Consider in $L_2(\mathbb{R})$ the following operators

$$\text{dom}(\mathcal{L}_0) = \{f \in W_2^1(\mathbb{R}) : f(y) = 0, y \in Y\}, \quad \mathcal{L}_0 = i \frac{d}{dx}, \quad (20)$$

$$\text{dom}(\mathcal{L}) = W_2^1(\mathbb{R}), \quad \mathcal{L} = i \frac{d}{dx}. \quad (21)$$

From (20) it follows that \mathcal{L}_0 is a densely defined symmetric operator and its adjoint \mathcal{L}_0^* is given by

$$\text{dom}(\mathcal{L}_0^*) = W_2^1(\mathbb{R} \setminus Y), \quad \mathcal{L}_0^* = i \frac{d}{dx}. \quad (22)$$

The operator \mathcal{L} is a selfadjoint extension of \mathcal{L}_0 . So, we have $\mathcal{L}_0 \subset \mathcal{L} \subset \mathcal{L}_0^*$. From (3)–(22) it follows that

$$A_0 = \mathcal{L} \mathcal{L}_0, \quad A' = \mathcal{L}_0 \mathcal{L}, \quad H_0 = \mathcal{L}_0^2, \quad A = \mathcal{L}^2, \quad A_0^* = \mathcal{L}_0^* \mathcal{L}, \quad A'^* = \mathcal{L} \mathcal{L}_0^*, \quad H_0^* = \mathcal{L}_0^{*2}. \quad (23)$$

Using representation (23) and Theorem 3 the explicit expressions for the Friedrichs and Kreĭn extensions of A_0 , A' and H_0 and their transversalness have been obtained in [5]. In the next statements for the operators A^* , A_0^* and H_0^* explicit expressions for the basic boundary triplets and abstract boundary conditions for all nonnegative selfadjoint extensions are obtained.

Proposition 6. *Set*

$$\mathcal{H} = \begin{cases} \mathbb{C}^m, & Y \text{ consists of } m \text{ points;} \\ \ell_2(\mathbb{J}), & Y \text{ is infinite,} \end{cases} \quad \text{dom}(\Gamma) = W_2^1(\mathbb{R} \setminus Y),$$

$$\Gamma u = \{i(u(y_j+) - u(y_j-)), j \in \mathbb{J}\}, \quad \text{dom}(G) = W_2^1(\mathbb{R}), \quad Gf = \{f(y_j), j \in \mathbb{J}\}.$$

Then

- (i) $\{\mathcal{H}, \Gamma, G\}$ is the boundary triplet for pair $\mathcal{L} \subset \mathcal{L}_0^*$;
- (ii) the triplet $\Pi = \{\mathcal{H}, \Gamma, G \mathcal{L}_0^*\}$ is basic for A'^* , where $G \mathcal{L}_0^*$ is given by the relation $G \mathcal{L}_0^* f = \{i f'(y_j), j \in \mathbb{J}\}$, $f \in \text{dom}(A'^*)$;
- (iii) the mapping

$$\Theta \mapsto A'_\Theta = A'^* \upharpoonright \{f \in \text{dom}(A'^*) : (\{i(f(y_j+) - f(y_j-)), j \in \mathbb{J}\}, \{i f'(y_j), j \in \mathbb{J}\}) \in \Theta\}$$

establishes a one-to-one correspondence between all nonnegative selfadjoint extensions of the operator A' and all nonnegative selfadjoint linear relation Θ in \mathcal{H} .

Proof. By the definition of a boundary triplet for the pair $L_1 \subset L_2$, where $L_1 = \mathcal{L}$, $L_2 = \mathcal{L}_0^*$ we get $\text{dom}(\Gamma) = \text{dom}(L_2) = \text{dom}(\mathcal{L}_0^*) = W_2^1(\mathbb{R} \setminus Y)$ and $\ker(\Gamma) = \text{dom}(\mathcal{L}) = W_2^1(\mathbb{R})$. Similarly, $\text{dom}(\Phi) = \text{dom}(L_1^*) = \text{dom}(\mathcal{L}) = W_2^1(\mathbb{R})$, $\ker(\Phi) = \text{dom}(L_2^*) = \text{dom}(\mathcal{L}_0) = \{u \in W_2^1(\mathbb{R}) : u(y) = 0, y \in Y\}$. Further, the Green identity

$$\begin{aligned} (L_1^* f, u)_{\mathfrak{H}} - (f, L_2 u)_H &= \int_{\mathbb{R}} i f'(x) \overline{u(x)} dx - \int_{\mathbb{R}} f(x) \overline{i u'(x)} dx = \\ &= i \sum_{j \in \mathbb{J}} \left(\int_{I_j} f'(x) \overline{u(x)} dx + \int_{I_j} f(x) \overline{u'(x)} dx \right) = i \sum_{j \in \mathbb{J}} f(x) \overline{u(x)} \Big|_{y_j}^{y_{j+1}} = \\ &= i \sum_{j \in \mathbb{J}} f(y_j) \left(\overline{u(y_j-)} - \overline{u(y_j+)} \right) = \sum_{j \in \mathbb{J}} f(y_j) i \left(u(y_j+) - u(y_j-) \right) = (Gf, \Gamma u)_{\mathcal{H}} \end{aligned}$$

holds. Due to Propositions 1 and 2 the operators Γ and G are bounded. Hence the triplet $\{\mathcal{H}, G, \Gamma\}$ is the boundary triplet for the pair $\mathcal{L} \subset \mathcal{L}_0^*$.

Further, since $\ker(\mathcal{L}) = \{0\}$ and applying Theorem 4 we get (ii) and (iii). \square

Recall [5], that

$$P_{\overline{\text{ran}}(\mathcal{L}_0)} \mathcal{L}_0^* f = i f' - i \sum_k \frac{1}{d_k} (f(y_{k+1} - 0) - f(y_k + 0)) \chi_k, \quad f \in \text{dom}(\mathcal{L}_0^*),$$

where the functions $\{\frac{\chi_k}{\sqrt{d_k}}\}_{k \in \mathbb{J}}$ (χ_k is the characteristic function of the interval $[y_k, y_{k+1}]$, $d_k = |y_k - y_{k+1}|$) form an orthonormal basis of $\ker(\mathcal{L}_0^*)$ and $d_k = |y_k - y_{k+1}|$, $k \in \mathbb{J}$.

Proposition 7. *Set*

$$\mathcal{H} = \begin{cases} \mathbb{C}^m, & Y \text{ consists of } m \text{ points;} \\ \ell_2(\mathbb{J}), & Y \text{ is infinite,} \end{cases} \quad \text{dom}(\Gamma) = W_2^1(\mathbb{R}), \quad \Gamma u = \{i u(y_j), j \in \mathbb{J}\},$$

$$\text{dom}(G) = W_2^1(\mathbb{R} \setminus Y), \quad Gf = \{(f(y_j+) - f(y_j-)), j \in \mathbb{J}\},$$

then

- (i) $\{\mathcal{H}, \Gamma, G\}$ is a boundary triplet for the pair $\mathcal{L}_0 \subset \mathcal{L}$;
- (ii) the triplet $\Pi = \{\mathcal{H}, \Gamma, G P_{\overline{\text{ran}}(\mathcal{L}_0)} \mathcal{L}\}$ is a basic for A_0^* , where

$$\begin{aligned} G P_{\overline{\text{ran}}(\mathcal{L}_0)} \mathcal{L} f &= \\ &= \left\{ i f'(y_j+) - i f'(y_j-) - i \frac{f(y_{j+1}-) - f(y_j+)}{y_{j+1} - y_j} + i \frac{f(y_j-) - f(y_{j-1}+)}{y_j - y_{j-1}}, j \in \mathbb{J} \right\}, \end{aligned}$$

$$f \in \text{dom}(A_0^*);$$

- (iii) the mapping

$$\begin{aligned} \Theta \mapsto A_{0\Theta} &= A_0^* \upharpoonright \left\{ f \in \text{dom}(A_0^*) : \left(\{i u(f_j), j \in \mathbb{J}\}, \right. \right. \\ &\quad \left. \left. \left\{ i f'(y_j+) - i f'(y_j-) - i \frac{f(y_{j+1}-) - f(y_j+)}{y_{j+1} - y_j} + i \frac{f(y_j-) - f(y_{j-1}+)}{y_j - y_{j-1}}, j \in \mathbb{J} \right\} \right) \in \Theta \right\} \end{aligned}$$

establishes a one-to-one correspondence between all nonnegative selfadjoint extensions of the operator A_0 and all nonnegative selfadjoint linear relation Θ in \mathcal{H} .

Proposition 8. *Set*

$$\mathcal{H} = \begin{cases} \mathbb{C}^{2m}, & Y \text{ consists of } m \text{ points;} \\ \ell_2(\mathbb{J}) \otimes \mathbb{C}^2, & Y \text{ is infinite,} \end{cases} \quad \text{dom}(\Gamma) = W_2^1(\mathbb{R} \setminus Y),$$

$$\Gamma u = \{(iu(y_j-), iu(y_j+))\}, \quad j \in \mathbb{J}, \quad \text{dom}(G) = W_2^1(\mathbb{R} \setminus Y), \quad Gf = \{(f(y_j-), f(y_j+))\}, \quad j \in \mathbb{J}.$$

Then

- (i) $\{\mathcal{H}, \Gamma, G\}$ is a boundary triplet for pair $\mathcal{L}_0 \subset \mathcal{L}_0^*$;
- (ii) the triplet $\Pi = \{\mathcal{H}, \Gamma, GP_{\overline{\text{ran}}(\mathcal{L}_0)}\mathcal{L}_0^*\}$ is basic for H_0^* , where

$$GP_{\overline{\text{ran}}(\mathcal{L}_0)}\mathcal{L}_0^*f = \left\{ \left(if'(y_j-) - i \frac{f(y_j-) - f(y_{j-1}+)}{y_j - y_{j-1}}, if'(y_j+) - i \frac{f(y_{j+1}-) - f(y_j+)}{y_{j+1} - y_j} \right), j \in \mathbb{J} \right\},$$

$$f \in \text{dom}(H_0^*);$$

- (iii) the mapping

$$\Theta \mapsto H_{0\Theta} = H_0^* \upharpoonright \left\{ f \in \text{dom}(H_0^*) : \left\{ \left(-if(y_j-), if(y_j+) \right), j \in \mathbb{J} \right\}, \right. \\ \left. \left\{ \left(if'(y_j-) - i \frac{f(y_j-) - f(y_{j-1}+)}{y_j - y_{j-1}}, if'(y_j+) - i \frac{f(y_{j+1}-) - f(y_j+)}{y_{j+1} - y_j} \right), j \in \mathbb{J} \right\} \in \Theta \right\}$$

establishes a one-to-one correspondence between all nonnegative selfadjoint extensions of the operator H_0 and all nonnegative selfadjoint linear relations Θ in \mathcal{H} .

Other boundary triplets for H_0^* have been constructed in [12] and in [13].

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