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ON GENERATORS OF POSITIVE  $C$ -SEMIGROUPS AND A NOTE ON COMPACT  $C$ -SEMIGROUPS

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Let  $X$  be a Banach space and  $T(t), 0 \leq t < \infty$ , be a one parameter  $C$ -semigroup of bounded linear operators on  $X$ . In this paper, we give a characterization for the generator of an exponentially bounded positive contractive  $C$ -semigroup. Further, sufficient conditions for a  $C$ -semigroup to be compact are presented.

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Пусть  $X$  — банахово пространство и  $T(t), 0 \leq t < \infty$ , — однопараметрическая  $C$ -полугруппа ограниченных линейных операторов на  $X$ . В статье дается характеристика генератора экспоненциально ограниченной положительной сжимающей  $C$ -полугруппы. Приводятся достаточные условия компактности  $C$ -полугруппы.

**Introduction.** Let  $X$  be a Banach space,  $L(X)$  the space of bounded linear operators on  $X$ , and  $C$  an injective bounded linear operator on  $X$ . A strongly continuous family,  $T(t), 0 \leq t < \infty$ , of bounded linear operators on  $X$  is called a  $C$ -semigroup, if  $T(0) = C$  and  $CT(s+t) = T(s)T(t)$  for all  $0 \leq s, t < \infty$ . If  $C = I$ , the identity operator on  $X$ , then the  $C$ -semigroup is just a strongly continuous semigroup in the ordinary sense.  $T(t)$  is called *exponentially bounded* if there exist  $M < \infty$  and  $\omega \in \mathbb{R}$  such that  $\|T(t)\| \leq Me^{\omega t}$ . The operator  $A$  defined by

$$Ax = C^{-1} \left( \lim_{t \downarrow 0} \frac{T(t)x - Cx}{t} \right)$$

with

$$D(A) = \left\{ x \in X : \lim_{t \downarrow 0} \frac{T(t)x - Cx}{t} \text{ exists and is in } R(C) \right\}$$

is called the *generator* of  $T(t)$ . The complex number  $\lambda$  is in  $\rho_C(A)$ , the  $C$ -resolvent of  $A$ , if  $(\lambda - A)$  is injective and  $R(C) \subseteq R(\lambda - A)$ .  $C$ -semigroups have been given more attention lately. We refer to [1], [2], [4], [5] and [6] for generators and basic structure of  $C$ -semigroups.

Let  $X$  be an ordered real Banach space with generating closed positive convex cone  $X^+$ . An operator  $B \in L(X)$  is called *positive* if  $B(X^+) \subseteq X^+$ . A  $C$ -semigroup  $T(t), t \in [0, \infty)$ , is called *positive* if  $T(t)$  is positive for all  $t \in [0, \infty)$ . A  $C$ -semigroup  $T(t)$  is called *compact*, if  $T(t)$  is a compact operator on  $X$  for all  $t \in (0, \infty)$ .

The main object of this paper is to characterize the generator of a positive contractive  $C$ -semigroup. Sufficient and necessary conditions for a  $C$ -semigroup to be compact are presented.

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Throughout this paper,  $X$  is a Banach space and  $L(X)$  is the space of bounded linear operators on  $X$ . For a densely defined linear operator  $A$  on  $X$ , we let  $\sigma(A)$  denote the spectrum of  $A$ , while  $\rho(A)$  denotes the resolvent set of  $A$ .

**I. Positive  $C$ -Semigroups.** Let  $X$  be an ordered real Banach space with generating closed positive convex cone  $X^+$  and “ $\leq$ ” as the ordered relation. An element  $x \in X$  is called positive if  $0 \leq x$ . Each element  $x \in X$  admits a decomposition  $x = u - v$  with  $u, v \in X^+$ . We refer to [3] and [8] for basic structure of ordered Banach spaces.

Now we introduce the concept of  $C$ -dispersive operators.

**Definition.** Let  $X$  be an ordered real Banach space with generating closed cone  $X^+$ , and  $C$  be a positive bounded linear operator on  $X$ . An operator  $A$  with domain and range in  $X$  is said to be  $C$ -dispersive if for every  $\lambda > 0$  and,  $x \in D(A)$ , the inequality  $\inf \{\|C(\lambda - A)x + u\|, u \in X^+\} \geq \lambda \inf \{\|Cx + u\|, u \in X^+\}$  holds.

**Example 1.1.** Let  $X = C(K)$ , the space of continuous functions on the compact metric space  $K$  with  $\|f\| = \sup_{t \in K} |f(t)|$  for all  $f \in C(K)$ . The closed generating cone for  $X$  is  $X^+ = \{f \in C(K) : f(x) \geq 0, x \in K\}$ . Each  $f \in X$  admits a decomposition  $f = f^+ - f^-$ , where  $f^+(x) = \sup\{f(x); 0\}$  and  $f^-(x) = (-f(x))^+$ . It is clear that both  $f^+$  and  $f^- \in X^+$  and  $\|f\| = \sup_{t \in K} |f(t)| = \sup_{t \in K} (f^+(t) + f^-(t)) = \sup_{t \in K} |f^+(t) + f^-(t)| = \|f^+ + f^-\|$ .

A  $C$ -semigroup  $T(t)$ ,  $0 \leq t < \infty$ , is of **contractions** if  $\|T(t)x\| \leq \|Cx\|$  for all  $t \geq 0$  and  $x \in X$ . In this section, we give a characterization of the generator of contraction positive  $C$ -semigroup.

Now, we state and prove the main result of this paper.

**Theorem 1.2.** Let  $X$  be an ordered real Banach space with generating closed cone  $X^+$ . Suppose that for all  $x \in X$ ,  $\|x\| = \inf \{\|u + v\| : x = u - v, u, v \in X^+\}$  and  $\|Cx\| = \inf \{\|Cu + Cv\| : x = u - v, u, v \in X^+\}$ . If  $A$  is a densely defined linear operator with domain and range in  $X$  such that  $(0, \infty) \subseteq \rho(A)$  and  $C$  is a positive bounded linear operator on  $X$ , then the operator  $A$  generates a strongly continuous positive contraction  $C$ -semigroup if and only if  $A$  satisfies: (i)  $CA \subseteq AC$ ; (ii)  $C(D(A))$  is dense in  $R(C)$ ; (iii)  $A$  is  $C$ -dispersive.

*Proof.* Suppose that  $A$  generates a positive contraction  $C$ -semigroup  $T(t)$ . Then by Theorem 4.3, ([5]), (i) and (ii) are satisfied.

To prove (iii). Let  $x \in X$  and  $\lambda > 0$ . Define,  $R(\lambda)x = \int_0^\infty e^{-\lambda s} T(s)x ds$ . Since  $T(t)$  is positive for all  $t > 0$ , we see that  $R(\lambda)$  is positive.

Since  $T(t)$  is a contraction  $C$ -semigroup, then for  $\lambda > 0$  we have,

$$\|\lambda R(\lambda)y\| = \left\| \lambda \int_0^\infty e^{-\lambda s} T(s)y ds \right\| \leq \int_0^\infty e^{-\lambda s} \|T(s)y\| ds \leq \|Cy\| \quad (1)$$

But by Lemma 2.8([4]), we have  $R(\lambda)x = (\lambda - A)^{-1}Cx$ , so, for  $u \in X^+$  and  $x \in D(CA)$  we have  $\lambda\|Cx + R(\lambda)u\| = \lambda\|C(\lambda - A)^{-1}(\lambda - A)x + R(\lambda)u\| = \|\lambda(R(\lambda)(\lambda - A)x + R(\lambda)u)\| = \|\lambda R(\lambda)((\lambda - A)x + u)\|$ . Using (1) we get  $\lambda\|Cx + R(\lambda)u\| \leq \|C(\lambda - A)x + Cu\| = \|C(\lambda - A)x + v\|$ , where,  $v = Cu$  is positive. But  $R(\lambda)$  is a positive operator. It follows that

$R(\lambda)u$  is positive, and so  $\lambda\|Cx + w\| \leq \|C(\lambda - A)x + v\|$ , where,  $w, v \in X^+$ . This implies  $\inf\{\|C(\lambda - A)x + v\|, v \in X^+\} \geq \lambda \inf\{\|Cx + u\|, u \in X^+\}$ . Thus  $A$  is  $C$ -dispersive.

*Conversely.* Define another norm on  $R(C)$ ,  $\|\cdot\|_1$  as follows

$$\|Cx\|_1 = \max(\inf\{\|Cx + u\|, u \in X^+\}, \inf\{\| -Cx + u\|, u \in X^+\}).$$

It is known, [1], that  $p(y) = \inf\{\|y + u\|, u \in X^+\}$  is the canonical half-norm on  $X$  and  $\max(p(y), p(-y))$  is a norm on  $R(C)$  satisfying  $\|Cx\|_1 \leq \|Cx\|$  for all  $x \in X$ .

Now, if  $(Cx_n)$  is a Cauchy sequence in  $(X, \|\cdot\|_1)$ , then there exist double sequences  $(u_{n,m}), (v_{n,m})$  in  $X^+$  such that  $\lim_{n,m \rightarrow \infty} \|Cx_n - Cx_m + u_{n,m}\| = 0$ , and  $\lim_{n,m \rightarrow \infty} \|Cx_m - Cx_n + v_{n,m}\| = 0$ . Hence

$$\lim_{n,m \rightarrow \infty} \|u_{n,m} + v_{n,m}\| \leq \lim_{n,m \rightarrow \infty} \|Cx_n - Cx_m + u_{n,m}\| + \lim_{n,m \rightarrow \infty} \|Cx_m - Cx_n + v_{n,m}\| = 0.$$

Since  $\|u - v\| = \inf\{\|a + b\| : u - v = a - b, a, b \in X^+\}$ , we get  $\lim_{n,m \rightarrow \infty} \|u_{n,m} - v_{n,m}\| = 0$ .

Thus  $\lim_{n,m \rightarrow \infty} \|u_{n,m}\| = \lim_{n,m \rightarrow \infty} \|v_{n,m}\| = 0$ . Further  $\lim_{n,m \rightarrow \infty} \|Cx_n - Cx_m\| = \lim_{n,m \rightarrow \infty} \|Cx_n - Cx_m + u_{n,m} - u_{n,m}\| \leq \lim_{n,m \rightarrow \infty} \|Cx_n - Cx_m + u_{n,m}\| + \|u_{n,m}\| = 0$ . Thus  $(Cx_n)$  is a Cauchy sequence in

$(X, \|\cdot\|)$ . But  $X$  is complete. It follows that  $(\overline{R(C)}, \|\cdot\|_1)$  is complete. By the Open Mapping Theorem there exists  $\delta > 0$  such that  $\delta\|Cx\| \leq \|Cx\|_1$ . Since  $A$  is  $C$ -dispersive,

$$\inf\{\|C(\lambda - A)x + u\|, u \in X^+\} \geq \lambda \inf\{\|Cx + u\|, u \in X^+\}.$$

Thus  $\lambda\|Cx\|_1 \leq \|C(\lambda - A)x\|$  and so,  $\delta\lambda\|Cx\| \leq \|C(\lambda - A)x\|$ , and so  $C(\lambda - A)$  is one-one.

Since  $D(A)$  is dense, it follows that the operator  $A$  is closable. To see that: let  $y \in X$  and  $x_n$  be a sequence in  $D(A)$  such that  $\lim_{n \rightarrow \infty} x_n = 0$ ,  $\lim_{n \rightarrow \infty} Ax_n = y$ . Density of  $D(A)$  in  $X$  gives a sequence  $y_n$  in  $D(A)$  such that  $\|y_n - y\| \leq \frac{1}{n}$ . But, since  $\delta\lambda\|Cx\| \leq \|C(\lambda - A)x\|$ , we have  $\|C(\lambda x_n + y_m) - AC(x_n + \lambda^{-1}y_m)\| \geq \delta\lambda\|Cx_n + C\lambda^{-1}y_m\| = \delta\|C\lambda x_n + Cy_m\|$ . As  $n \rightarrow \infty$ , we have,  $\|Cy_m - y - \lambda^{-1}ACy_m\| \geq \delta\|Cy_m\|$ . As  $\lambda \rightarrow \infty$ , we have,  $\|Cy_m - Cy\| \geq \delta\|Cy_m\|$ .

But,  $\delta\|Cy_m\| \leq \|Cy_m - Cy\| \leq \|C\|\|y_m - y\| \leq \frac{\|C\|}{m}$ . Hence,  $\|y\| = \lim_{m \rightarrow \infty} \|y_m\| = 0$ , and  $y = 0$ . Consequently,  $A$  is closable and the inequality  $\delta\lambda\|Cx\| \leq \|C(\lambda - \overline{A})x\|$  holds true. Further,  $(\lambda - \overline{A})$  is one-one.

Since  $(0, \infty) \subseteq \rho(A)$ , it follows that  $\overline{(\lambda - A)D(A)} = X$  for all  $\lambda > 0$ . Hence, if  $y \in X$ , there exists a sequence  $x_n \in D(A)$  such that  $y_n = (\lambda - A)x_n$  converges to  $y$ . But  $R(\lambda, A)$  is bounded. Thus  $x_n = R(\lambda, A)y_n$  is convergent, say to  $x$ . Since  $\overline{A}$  is closed, it follows that  $x \in D(\overline{A})$  and  $(\lambda - \overline{A})x = y$ . Hence  $(\lambda - \overline{A})D(\overline{A}) = X$ .

Now, let  $x \in D(\overline{A})$  and  $\varepsilon > 0$ . Then, by the property of the space and the positivity of the linear operator  $C$ , there exists  $u, v \in X^+$  such that  $\lambda x - \overline{A}x = u - v$ . So  $\lambda Cx - \overline{A}Cx = Cu - Cv$  and  $\|\lambda Cx - \overline{A}Cx\| \geq \|Cu + Cv\| - \varepsilon$ . Since  $(\lambda - \overline{A})D(\overline{A}) = X$ , then there exist  $g, h \in D(\overline{A})$  such that  $u = \lambda g - \overline{A}g$  and  $v = \lambda h - \overline{A}h$ . Since  $A$  is  $C$ -dispersive, its closure  $\overline{A}$  is  $C$ -dispersive too. Consequently,  $\inf\{\|C(\lambda - \overline{A})g + b\|, b \in X^+\} \geq \lambda \inf\{\|Cg + z\|, z \in X^+\}$ . But  $C(\lambda - \overline{A})g \in X^+$ . It follows that  $\inf\{\|C(\lambda - \overline{A})g + b\|, b \in X^+\} = 0$  and so  $\inf\{\|Cg + z\|, z \in X^+\} = 0$  which implies that  $Cg \in X^+$ . Similarly  $Ch \in X^+$ . Since

$$(\lambda - \overline{A})Cx = Cu - Cv = (\lambda - \overline{A})Cg - (\lambda - \overline{A})Ch = (\lambda - \overline{A})C(g - h),$$

and  $\lambda - \overline{A}$  is one-one, it follows that  $Cx = C(g - h)$ .

Now  $\|\lambda Cx - \overline{A}Cx\| \geq \|Cu + Cv\| - \varepsilon = \|(\lambda - \overline{A})Cg + (\lambda - \overline{A})Ch\| - \varepsilon = \|(\lambda - \overline{A})C(g + h)\| - \varepsilon \geq \lambda \inf\{\|C(g + h) + 2b\|, b \in X^+\} - \varepsilon \geq \lambda \inf\{\|Cg + b + Ch + b\|, b \in X^+\} - \varepsilon$ . Since  $\|z + b\| \geq \|z - b\|$ ,  $z, b \in X^+$ , it follows that  $\|\lambda Cx - \overline{A}Cx\| \geq \lambda\|Cg - Ch\| - \varepsilon = \lambda\|Cx\| - \varepsilon$ .

Since  $\varepsilon$  is arbitrary, we conclude that  $\|\lambda Cx - \overline{A}Cx\| \geq \lambda\|Cx\|$ .

Now for  $\lambda > 0$ , we have

$$\|Cx\| = \|(\lambda - \overline{A})(\lambda - \overline{A})^{-1}Cx\| = \|C(\lambda - \overline{A})(\lambda - \overline{A})^{-1}x\| \geq \lambda\|(\lambda - \overline{A})^{-1}Cx\|,$$

which implies by Theorem 4.3 ([5]), that  $\overline{A}$  generates a contraction  $C$ -semigroup.

For  $x \in X^+$ , we have  $\|Cx\| = \|C(\lambda - \overline{A})(\lambda - \overline{A})^{-1}x\|$ . But  $\overline{A}$  is  $C$ -dispersive. Then,  $\inf\{\|Cx + b\|, b \in X^+\} = \inf\{\|C(\lambda - \overline{A})(\lambda - \overline{A})^{-1}x + b\|, b \in X^+\} \geq \lambda \inf\{\|(\lambda - \overline{A})^{-1}Cx + z\|, z \in X^+\}$ . Positivity of  $Cx$  implies that  $\inf\{\|Cx + b\|, b \in X^+\} = 0$  and so  $\inf\{\|(\lambda - \overline{A})^{-1}Cx + z\|, z \in X^+\} = 0$ . Hence  $(\lambda - \overline{A})^{-1}Cx$  is positive and so  $(\lambda - \overline{A})^{-1}$  leaves  $X^+$  invariant. Now,  $\inf\{\|Cx + b\|, b \in X^+\} = \inf\{\|C(\lambda - \overline{A})^2(\lambda - \overline{A})^{-2}x + b\|, b \in X^+\} \geq \lambda \inf\{\|(\lambda - \overline{A})(\lambda - \overline{A})^{-2}Cx + z\|, z \in X^+\} \geq \lambda^2 \inf\{\|(\lambda - \overline{A})^{-2}Cx + z\|, z \in X^+\}$ . Since  $Cx$  is positive, it follows that  $(\lambda - \overline{A})^{-2}$  leaves  $CX^+$  invariant. Using induction, one gets  $(\lambda - \overline{A})^{-n}CX^+ \subseteq CX^+$ . But by Theorem 3.2 ([6]),  $T(t)x = \lim_{m \rightarrow \infty} (I - \frac{t}{m}\overline{A})^{-m}Cx$ . It follows that  $T(t)$  is a positive  $C$ -semigroup.  $\square$

**Remark 1.3.** One can prove Theorem 1.3 by replacing the condition  $\|Cx\| = \inf\{\|Cu + Cv\| : x = u - v, u, v \in X^+\}$  by the assumption  $\overline{CX^+} = X^+$ .

The proof goes as follows.

*Proof.* Now: let  $x \in D(\overline{A})$  and  $\varepsilon > 0$ . Then by the property of the space and the positivity of the linear operator  $C$ , there exists  $u, v \in X^+$  such that  $\lambda Cx - \overline{A}Cx = u - v$  and  $\|\lambda Cx - \overline{A}Cx\| \geq \|u + v\| - \varepsilon$ . Since  $\overline{CX^+} = X^+$ , there exist sequences  $(x_n), (y_n)$  in  $X^+$  such that  $\lim_{n \rightarrow \infty} Cx_n = u$  and  $\lim_{n \rightarrow \infty} Cy_n = v$ . Hence  $\lim_{n \rightarrow \infty} C(x_n + y_n) = u + v$  and  $\lim_{n \rightarrow \infty} C(x_n - y_n) = u - v$ . Continuity of the norm implies that  $\lim_{n \rightarrow \infty} \|C(x_n + y_n)\| = \|u + v\|$  and  $\lim_{n \rightarrow \infty} \|C(x_n - y_n)\| = \|u - v\|$ .

Now for  $\varepsilon > 0$  there exist  $N$  such that for all  $n > N$  we have  $-\varepsilon < \|C(x_n + y_n)\| - \|u + v\| < \varepsilon$  or  $-\varepsilon + \|u + v\| < \|C(x_n + y_n)\| < \|u + v\| + \varepsilon$ . Since  $(\lambda - \overline{A})D(\overline{A}) = X$ , for each  $n > N$ , there exist  $g_n, h_n \in D(\overline{A})$  such that  $x_n = \lambda g_n - \overline{A}g_n$  and  $y_n = \lambda h_n - \overline{A}h_n$ . Since  $A$  is  $C$ -dispersive, its closure  $\overline{A}$  is  $C$ -dispersive too, and so for each  $n > N$ , we have

$$\inf\{\|C(\lambda - \overline{A})g_n + b\|, b \in X^+\} \geq \lambda \inf\{\|Cg_n + z\|, z \in X^+\}.$$

Since  $C(\lambda - \overline{A})g_n \in X^+$ , it follows that  $\inf\{\|C(\lambda - \overline{A})g_n + b\|, b \in X^+\} = 0$  and so  $\inf\{\|Cg_n + z\|, z \in X^+\} = 0$  which implies that  $Cg_n \in X^+$ . Similarly  $Ch_n \in X^+$ . Now

$$(\lambda - \overline{A})Cx = u - v = \lim_{n \rightarrow \infty} C(x_n - y_n) = \lim_{n \rightarrow \infty} (\lambda - \overline{A})C(g_n - h_n).$$

Since  $\lambda - \overline{A}$  is a closed operator, one has

$$(\lambda - \overline{A})Cx = \lim_{n \rightarrow \infty} (\lambda - \overline{A})C(g_n - h_n) = (\lambda - \overline{A}) \lim_{n \rightarrow \infty} C(g_n - h_n).$$

But  $\lambda - \overline{A}$  is one-one. It follows that  $Cx = \lim_{n \rightarrow \infty} C(g_n - h_n)$ .

Now  $\|\lambda Cx - \overline{A}Cx\| \geq \|u + v\| - \varepsilon \geq \|(\lambda - \overline{A})Cg_n + (\lambda - \overline{A})Ch_n\| - 2\varepsilon = \|(\lambda - \overline{A})C(g_n + h_n)\| - 2\varepsilon \geq \lambda \inf\{\|C(g_n + h_n) + 2b\|, b \in X^+\} - 2\varepsilon \geq \lambda \inf\{\|Cg_n + b + Ch_n + b\|, b \in X^+\} - 2\varepsilon$ . Since  $\|z + b\| \geq \|z - b\|$ ,  $z, b \in X^+$ , it follows that  $\|\lambda Cx - \overline{A}Cx\| \geq \lambda\|Cg_n - Ch_n\| - 2\varepsilon$ , and as  $n$  goes to infinity we have  $\|\lambda Cx - \overline{A}Cx\| \geq \lambda\|Cx\| - 2\varepsilon$ . Since  $\varepsilon$  is arbitrary we conclude that  $\|\lambda Cx - \overline{A}Cx\| \geq \lambda\|Cx\|$ . Further  $\|Cx\| = \|(\lambda - \overline{A})(\lambda - \overline{A})^{-1}Cx\| = \|C(\lambda - \overline{A})(\lambda - \overline{A})^{-1}x\| \geq \lambda\|(\lambda - \overline{A})^{-1}Cx\|$ . Using Theorem 4.3 ([5]), we obtain that  $\overline{A}$  generates a contraction  $C$ -semigroup. The rest of the proof goes as the proof of the theorem.  $\square$

**II. Compact  $C$ -Semigroups.** In this section we present necessary conditions and sufficient conditions for a  $C$ -semigroup to be compact.

**Proposition 2.1.** *Let  $T(t)$  be a strongly continuous  $C$ -semigroup of bounded linear operators on a Banach space  $X$ . If  $T(t)$  is compact for some  $t_0 > 0$ , then  $CT(t)$  is compact for all  $t > t_0$ .*

*Proof.* Suppose  $T(t_0)$  is compact for some  $t_0 > 0$ . Then,  $CT(t) = CT(t - t_0 + t_0) = T(t - t_0)T(t_0)$ . Thus  $CT(t)$  is compact for all  $t > t_0$ .  $\square$

**Theorem 2.2.** *Let  $T(t)$  be a strongly continuous exponentially bounded  $C$ -semigroup of bounded linear operators on a Banach space  $X$ . If  $T(t)$  is compact for all  $t > t_0$  for some  $t_0 > 0$ , then the map  $t \rightarrow CT(t)$  is continuous from the big in the uniform operator topology for all  $t > t_0$ .*

*Proof.* Let  $\|T(s)\| \leq M$  for  $0 \leq s \leq 1$ . Then for  $t > t_0$ , the set  $U_t = \{T(t)x : \|x\| \leq 1\}$  is relatively compact. Now: for  $\varepsilon > 0$ , the collection  $W = \{B(T(t)x, \frac{\varepsilon}{2(M+\|C\|)}) : \|x\| \leq 1\}$  is an open cover for the compact set  $\overline{U_t}$ . So  $W$  has a finite subcover. Thus there exist  $x_1, x_2, \dots, x_N$  in  $X$  such that  $\bigcup_{i=1}^N B(T(t)x_i, \frac{\varepsilon}{2(M+\|C\|)})$  covers  $U_t$ . That means for each  $x \in X ; \|x\| \leq 1$  there exists  $j$  ( $j$  depends on  $x$ ),  $1 \leq j \leq N$  such that  $\|T(t)x - T(t)x_j\| \leq \frac{\varepsilon}{2(M+\|C\|)}$ . But  $T(t)$  is strongly continuous. So there exists  $h_0, 0 \leq h_0 \leq 1$  such that  $\|T(t+h)x_j - T(t)x_j\| \leq \varepsilon/(2\|C\|)$  for  $0 \leq h \leq h_0$  and all  $j, 1 \leq j \leq N$ .

Now, for  $0 \leq h \leq h_0$ , and  $x \in X ; \|x\| \leq 1$ , set  $J = \|CT(t+h)x - CT(t)x\|$ . Then:

$$\begin{aligned} J &= \|CT(t+h)x - CT(t+h)x_j + CT(t+h)x_j - CT(t)x_j + CT(t)x_j - CT(t)x\| \\ &\leq \|CT(t+h)x - CT(t+h)x_j\| + \|CT(t+h)x_j - CT(t)x_j\| + \|CT(t)x_j - CT(t)x\| \\ &\leq \|T(t)T(h)x - T(t)T(h)x_j\| + \|C\|\|T(t+h)x_j - T(t)x_j\| + \|C\|\|T(t)x_j - T(t)x\| \\ &\leq \|T(h)\|\|T(t)x - T(t)x_j\| + \|C\|\|T(t+h)x_j - T(t)x_j\| + \|C\|\|T(t)x_j - T(t)x\| \\ &\leq M\frac{\varepsilon}{2(M+\|C\|)} + \|C\|\frac{\varepsilon}{2\|C\|} + \frac{\|C\|\varepsilon}{2(M+\|C\|)} = \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon. \end{aligned}$$

Thus  $CT(t)$  is continuous in the uniform operator topology.  $\square$

**Theorem 2.3.** *Let  $T(t)$  be a strongly continuous exponentially bounded  $C$ -semigroup of bounded linear operators on a Banach space  $X$ . If  $T(t)$  is compact for some  $t_0 > 0$ , then the map  $t \rightarrow C^2T(t)$  is continuous from the big in the uniform operator topology for all  $t > t_0$ .*

*Proof.* Since  $T(t_0)$  is compact, by Proposition 2.1 it follows that  $CT(t)$  is compact for all  $t > t_0$ . The rest of the proof is similar to the proof of Theorem 2.2 by taking  $U_t = \{CT(t)x : \|x\| \leq 1\}$  and  $W = \{B(CT(t)x, \frac{\varepsilon}{2(M+\|C\|)}) : \|x\| \leq 1\}$ .  $\square$

**Corollary 2.4.** *Let  $T(t)$  be a strongly continuous exponentially bounded  $C$ -semigroup such that  $T(t)$  is compact for some  $t_0 > 0$ . If  $\text{Rang}(C)$  is closed then the map  $t \rightarrow T(t)$  is continuous from the big in the uniform operator topology.*

*Proof.* Since  $T(t)$  is compact for some  $t_0 > 0$ , by Theorem 2.3, it follows that  $C^2T(t)$  is continuous in the uniform operator topology. Further, since  $C$  is a bounded one-one linear operator with a closed range, the Open Mapping Theorem implies that  $C^{-1}$  is bounded. Hence  $T(t)$  is continuous in the uniform operator topology.  $\square$

**Theorem 2.5.** *Let  $T(t)$  be a strongly continuous  $C$ -semigroup of bounded linear operators on a Banach space  $X$  with generator  $A$  such that  $\|T(t)\| \leq Me^{\omega t}$ . If  $T(t)$  is compact for all  $t > 0$ , then  $C^2R(\lambda, A)$  is compact for all  $\lambda \in \rho(A)$ .*

*Proof.* Let  $\lambda \in \rho(A)$ ,  $\lambda \in R$  and  $\lambda > \omega$ . Then by Theorem 3.3, ([4]) we have

$$CR(\lambda, A)x = R(\lambda, A)Cx = \int_0^\infty e^{-\lambda s}T(s)x ds.$$

Define  $R_t(\lambda, A)x = C \int_t^\infty e^{-\lambda s}T(s)x ds = \int_t^\infty e^{-\lambda s}CT(s-t+t)x ds = \int_t^\infty e^{-\lambda s}T(s-t)T(t)x ds = T(t) \int_t^\infty e^{-\lambda s}T(s-t)x ds$ . Since  $T(t)$  is compact and the operator  $P$ ,  $P(x) = \int_t^\infty e^{-\lambda s}T(s-t)x ds$  is a bounded operator in  $L(X)$  for  $\lambda > \omega$  and all  $t > 0$ , the operators  $R_t(\lambda, A)$  is compact for all  $t > 0$  and all  $\lambda \in R$ ,  $\lambda > \omega \geq 0$ . Further,

$\|R_t(\lambda, A) - C^2R(\lambda, A)\| = \|C \int_t^\infty e^{-\lambda s}T(s) ds - C \int_0^\infty e^{-\lambda s}T(s) ds\| \leq \|\int_0^t e^{-\lambda s}CT(s) ds\| \leq M\|C\| \int_0^t e^{(\omega-\lambda)s} ds$ . Since  $\lim_{t \rightarrow 0^+} M\|C\| \int_0^t e^{(\omega-\lambda)s} ds = 0$ ,  $R_t(\lambda, A)$  is compact for all  $t > 0$ , it follows that  $C^2R(\lambda, A)$  is compact for all  $\lambda \in R$ ,  $\lambda > \omega \geq 0$ .

Since  $C^2R(\lambda, A)$  is compact for  $\lambda \in \rho(A)$  and  $\lambda > \omega$ , the resolvent identity

$$C^2R(\mu, A) = C^2R(\lambda, A) + (\lambda - \mu)C^2R(\lambda, A)R(\mu, A)$$

implies  $C^2R(\mu, A)$  is compact that for any  $\mu \in \rho(A)$ .  $\square$

**Theorem 2.6.** *Let  $(T(t))_{t \geq 0}$  be a differentiable strongly continuous  $C$ -semigroup on a Banach space  $X$  with generator  $A$  such that  $\|T(t)\| \leq Me^{\omega t}$ . If: (i)  $R(C)$  is dense in  $X$ , (ii) there exists  $\lambda_0 \in \rho(A)$  such that  $R(\lambda_0, A)$  is compact, (iii)  $T(t)$  is uniformly continuous, then  $T(t)$  is compact for all  $t > 0$ .*

*Proof.* Let  $\lambda_0 \in \rho(A)$  and  $\lambda_0 = 0$ . Define  $B(t)x = \int_0^t T(s)x ds$ . Then  $B \in L(X)$  and  $AB(t)x = A \int_0^t T(s)x ds = T(t)x - Cx = (T(t) - C)x$  for all  $x \in D(C)$ , Lemma 2.7 ([4]). Hence  $-AB(t)x = (0 - A)B(t)x = (C - T(t))x$ . So  $B(t)x = R(0, A)(C - T(t))x$ . Since  $D(A)$  and  $R(C)$  are both dense in  $X$ ,  $B(t) = R(0, A)(C - T(t))$ . But  $R(0, A)$  is compact. So  $B(t)$  is compact for all  $t > 0$ .

Now, since  $T(t)$  is uniformly continuous,  $B'(t)$  exists and  $B'(t) = \lim_{h \rightarrow 0} \frac{1}{h}(B(t+h) - B(t)) = \lim_{n \rightarrow \infty} n(B(t + \frac{1}{n}) - B(t)) = \lim_{n \rightarrow \infty} n(R(0, A)(C - T(t + \frac{1}{n})) - R(0, A)(C - T(t))) = \lim_{n \rightarrow \infty} nR(0, A)(T(t) - T(t + \frac{1}{n}))$ .

Define:  $D_n(t) = nR(0, A)(T(t) - T(t + \frac{1}{n}))$ . Since  $R(0, A)$  is compact, it follows that  $D_n(t)$  is compact for all  $t > 0$  and all  $n \in N$ . But  $B'(t) = \frac{d}{dt} \int_0^t T(s) ds = T(t)$ , since  $T(t)$  is uniformly continuous. Thus  $T(t)$  is compact for all  $t > 0$ .

For  $\lambda_0 > 0$ , define  $S(t) = e^{-\lambda_0 t}T(t)$ . Then if  $A$  is the generator of  $T(t)$ , then  $A - \lambda_0$  is the generator of  $e^{-\lambda_0 t}T(t)$ . So if  $\lambda_0 \in \rho(A - \lambda_0)$ , then  $0 \in \rho(A)$ .  $\square$

**Theorem 2.7.** *Let  $T(t)$  be a strongly continuous  $C$ -semigroup of bounded linear operators on a Banach space  $X$  with generator  $A$  such that  $\|T(t)\| \leq Me^{\omega t}$ . If  $R(\lambda, A)$  is compact for all  $\lambda \in \rho(A)$  and  $T(t)$  is uniformly continuous, then  $T(t)$  is compact for all  $t > 0$ .*

*Proof.* Since  $R(\lambda, A)$  is compact for all  $\lambda \in \rho(A)$  and  $T(t) \in L(X)$  for all  $t > 0$ , it follows that  $\lambda R(\lambda, A)T(t)$  is compact. But, for  $\lambda \in R$  and  $\lambda > \omega$ , we have  $CR(\lambda, A)x = R(\lambda, A)Cx = \int_0^\infty e^{-\lambda s}T(s)x ds$ . Theorem 3.3 ([4]) now implies (noting that  $C$  is injective)  $R(\lambda, A) = C^{-1} \int_0^\infty e^{-\lambda s}T(s) ds$ . Let  $J = \|\lambda R(\lambda, A)T(t) - T(t)\|$ . Then

$$\begin{aligned} J &= \|\lambda C^{-1} \int_0^\infty e^{-\lambda s}T(s)T(t) ds - \lambda \int_0^\infty e^{-\lambda s}T(t) ds\| = \|\lambda C^{-1} \int_0^\infty e^{-\lambda s}CT(s+t) ds - \lambda \int_0^\infty e^{-\lambda s}T(t) ds\| \\ &= \|\lambda C^{-1}C \int_0^\infty e^{-\lambda s}T(s+t) ds - \lambda \int_0^\infty e^{-\lambda s}T(t) ds\| = \|\lambda \int_0^\infty e^{-\lambda s}(T(s+t) - T(t)) ds\| \\ &\leq \lambda \int_0^\infty e^{-\lambda s}\|T(s+t) - T(t)\| ds = \int_0^b e^{-\lambda s}\|T(s+t) - T(t)\| ds + \int_b^\infty e^{-\lambda s}\|T(s+t) - T(t)\| ds \\ &\leq \sup_{0 \leq s \leq b} \|T(s+t) - T(t)\| \int_0^b e^{-\lambda s} ds + \int_b^\infty e^{-\lambda s} M(e^{\omega(s+t)} + e^{\omega t}) ds \leq \varepsilon + Me^{\omega t} \left( \frac{e^{(\omega-\lambda)b}}{\lambda-\omega} - \frac{e^{-\lambda b}}{\lambda} \right), \end{aligned}$$

which implies  $\lim_{\lambda \rightarrow \infty} \sup \|\lambda R(\lambda, A)T(t) - T(t)\| \leq \varepsilon$  for every  $b > 0$ . Since  $b$  is arbitrary, we get  $\lim_{\lambda \rightarrow \infty} \|\lambda R(\lambda, A)T(t) - T(t)\| = 0$ . Thus  $T(t)$  is compact.  $\square$

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