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# ANALOGUES OF THE LOCKHART-STRAUS INEQUALITY AND TWO-MEMBER ASYMPTOTICS OF SERIES IN SYSTEMS OF FUNCTIONS

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For an entire transcendental function  $f$  and a sequence  $(\lambda_n)$  of positive numbers increasing to  $+\infty$  let  $A(z) = \sum_{n=1}^{\infty} a_n f(\lambda_n z)$  be a series in the system  $f(\lambda_n z)$  regularly convergent in  $\{z: |z| < R[A]\}$  that is  $\mathfrak{M}(r, A) = \sum_{n=1}^{\infty} |a_n| M_f(r \lambda_n) < +\infty$  for  $r \in [0, R[A]]$ , where  $M_f(r) = \max\{|f(z)|: |z| = r\}$  ( $r \in [0, +\infty)$ ),  $\mu(r, A) = \max\{|a_n| M_f(r \lambda_n): n \geq 1\}$  is the maximal term. Denote  $n_\lambda(t) = \sum_{\lambda_n \leq t} 1$ , and  $\Gamma_f(r) = \frac{d \ln M_f(r)}{d \ln r}$  is the right-hand derivative.

Estimates of  $\mathfrak{M}(r, A)$  by  $\mu(r, A)$  are obtained, which are analogues of the Lockhart-Straus inequality (1985). The article proves, in particular, the following statement (Theorem 1): If  $0 < r < R[A] \leq +\infty$ ,  $0 < 2\varepsilon < R[A] - r$  and  $\ln n_\lambda(t) = o(\Gamma_f(rt))$  as  $t \rightarrow +\infty$  for every fixed  $r > 0$  then

$$\frac{\mathfrak{M}(r, A)}{\mu(r, A)} \leq n_\lambda \left( \Gamma_f^{-1} \left( 2 \frac{\ln \mu(r + 2\varepsilon, A) - \ln \mu(r + \varepsilon, A)}{\ln(r + 2\varepsilon) - \ln(r + \varepsilon)} \right) \right) + 2.$$

The application of the obtained results is indicated to study the relationship between the growth of  $\mathfrak{M}(r, A)$  and  $\mu(r, A)$  in terms of two-member asymptotics.

## 1. Introduction. For an entire transcendental function

$$g(z) = \sum_{k=0}^{\infty} g_k z^k$$

we denote

$$M_g(r) = \max\{|g(z)|: |z| = r\}, \quad \mu_g(r) = \max\{|g_k| r^k: k \geq 0\}.$$

P. Lockhart and E.G. Straus [1] proved that

$$M_g(r) \leq \frac{4r + \varepsilon}{\varepsilon} \mu_g(r) \left( 1 + \ln \frac{\mu_g(r + \varepsilon)}{\mu_g(r)} \right), \quad r < +\infty, \varepsilon > 0.$$

Let  $f(z) = \sum_{k=0}^{\infty} f_k z^k$  be an entire transcendental function and

$$A(z) = \sum_{n=1}^{\infty} a_n f(\lambda_n z) \tag{1}$$

be a series in the system  $f(\lambda_n z)$ , where  $\Lambda = (\lambda_n)$  is a sequence of positive numbers increasing to  $+\infty$ . Let  $R[A]$  be the radius of regular convergence of series (1), i.e.

$$\mathfrak{M}(r, A) = \sum_{n=1}^{\infty} |a_n| M_f(r \lambda_n) < +\infty \tag{2}$$

holds for  $r < R[A]$  and not holds for  $r > R[A]$ .

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Denote

$$\Gamma_f(r) = \frac{d \ln M_f(r)}{d \ln r}$$

(in points where the derivative does not exist, under  $\frac{d \ln M_f(r)}{d \ln r}$  mean right-hand derivative). Since the function  $\ln M_f(r)$  is logarithmically convex, we have  $\Gamma_f(r) \nearrow +\infty$  as  $r \rightarrow +\infty$ .

It is known [2] that if  $\Gamma_f(cr) \asymp \Gamma_f(r)$  as  $r \rightarrow +\infty$  for each  $c \in (0, +\infty)$  and  $\ln n = o(\Gamma_f(\lambda_n))$  as  $n \rightarrow \infty$  then

$$R[A] = \lim_{n \rightarrow \infty} \frac{1}{\lambda_n} M_f^{-1} \left( \frac{1}{|a_n|} \right).$$

For  $r \in [0, R[A])$  let  $\mu(r, A) = \max\{|a_n| M_f(r \lambda_n) : n \geq 1\}$  be the maximal term of series (2) and  $\nu(r, A) = \max\{n \geq 1 : |a_n| M_f(r \lambda_n) = \mu(r, A)\}$  be its central index.

Obviously  $\mathfrak{M}(r, A) \geq \mu(r, A)$ . In this note we will obtain upper bounds for  $\mathfrak{M}(r, A)$  by  $\mu(r, A)$  of the Lockhart-Straus inequality type.

**2. Main theorem.** Let  $n_\lambda(t) = \sum_{\lambda_n \leq t} 1$  be the counting function of the sequence  $(\lambda_n)$ . The following theorem is the main one.

**Theorem 1.** *If  $0 < r < R[A] \leq +\infty$ ,  $0 < 2\varepsilon < R[A] - r$  and  $\ln n_\lambda(t) = o(\Gamma_f(rt))$  as  $t \rightarrow +\infty$  for every fixed  $r > 0$  then*

$$\frac{\mathfrak{M}(r, A)}{\mu(r, A)} \leq n_\lambda \left( \Gamma_f^{-1} \left( 2 \frac{\ln \mu(r + 2\varepsilon, A) - \ln \mu(r + \varepsilon, A)}{\ln(r + 2\varepsilon) - \ln(r + \varepsilon)} \right) \right) + 2. \quad (3)$$

*Proof.* Since  $\mu(r, A) = |a_{\nu(r, A)}| M_f(r \lambda_{\nu(r, A)})$ , for  $r + \varepsilon < R[A]$  we have

$$\begin{aligned} |a_n| M_f(r \lambda_n) &= |a_n| M_f((r + \varepsilon) \lambda_n) \frac{M_f(r \lambda_n)}{M_f((r + \varepsilon) \lambda_n)} \leq \\ &\leq \mu(r + \varepsilon, A) \frac{M_f(r \lambda_n)}{M_f((r + \varepsilon) \lambda_n)} = |a_{\nu(r + \varepsilon, A)}| M_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)}) \frac{M_f(r \lambda_n)}{M_f((r + \varepsilon) \lambda_n)} = \\ &= |a_{\nu(r + \varepsilon, A)}| M_f(r \lambda_{\nu(r + \varepsilon, A)}) \frac{M_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)})}{M_f(r \lambda_{\nu(r + \varepsilon, A)})} \frac{M_f(r \lambda_n)}{M_f((r + \varepsilon) \lambda_n)} \leq \\ &\leq \mu(r, A) \frac{M_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)})}{M_f(r \lambda_{\nu(r + \varepsilon, A)})} \frac{M_f(r \lambda_n)}{M_f((r + \varepsilon) \lambda_n)}. \end{aligned} \quad (4)$$

But

$$\begin{aligned} \frac{M_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)})}{M_f(r \lambda_{\nu(r + \varepsilon, A)})} &= \exp \{ \ln M_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)}) - \ln M_f(r \lambda_{\nu(r + \varepsilon, A)}) \} = \\ &= \exp \left\{ \int_{r \lambda_{\nu(r + \varepsilon, A)}}^{(r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)}} \Gamma_f(x) d \ln x \right\} \leq \exp \left\{ \Gamma_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)}) \ln \frac{r + \varepsilon}{r} \right\} \end{aligned}$$

and similar

$$\frac{M_f(r \lambda_n)}{M_f((r + \varepsilon) \lambda_n)} = \exp \left\{ - \int_{r \lambda_n}^{(r + \varepsilon) \lambda_n} \Gamma_f(x) d \ln x \right\} \leq \exp \left\{ - \Gamma_f(r \lambda_n) \ln \frac{r + \varepsilon}{r} \right\}.$$

Therefore, (5) implies

$$|a_n| M_f(r \lambda_n) \leq \mu(r, A) \exp \left\{ - (\Gamma_f(r \lambda_n) - \Gamma_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)})) \ln \frac{r + \varepsilon}{r} \right\}. \quad (5)$$

Let  $n_0(r) = \min\{n : \Gamma_f(r \lambda_n) \geq 2\Gamma_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)})\}$ . Then  $\Gamma_f((r + \varepsilon) \lambda_{\nu(r + \varepsilon, A)}) \leq \Gamma_f(r \lambda_n)/2$  ( $n \geq n_0(r)$ ) and (4) implies

$$|a_n| M_f(r\lambda_n) \leq \mu(r, A) \exp \left\{ -\frac{\Gamma_f(r\lambda_n)}{2} \ln \frac{r+\varepsilon}{r} \right\}.$$

Therefore,

$$\frac{\mathfrak{M}(r, A)}{\mu(r, A)} \leq \left( \sum_{n=1}^{n_0(r)-1} + \sum_{n_0(r)}^{\infty} \right) \frac{|a_n| M_f(r\lambda_n)}{\mu(r, A)} \leq n_0(r) - 1 + \sum_{n=n_0(r)}^{\infty} \exp \left\{ -\frac{\Gamma_f(r\lambda_n)}{2} \ln \frac{r+\varepsilon}{r} \right\}. \quad (6)$$

It is clear that (5) implies  $\lambda_{n_0(r)-1} \leq \Gamma_f^{-1}(2\Gamma_f((r+\varepsilon)\lambda_{\nu(r+\varepsilon, A)}))$ , whence

$$n_0(r) - 1 \leq n_{\lambda}(\Gamma_f^{-1}(2\Gamma_f((r+\varepsilon)\lambda_{\nu(r+\varepsilon, A)}))). \quad (7)$$

On the other hand, since  $\ln n_{\lambda}(t) = o(\Gamma_f(rt))$  as  $t \rightarrow +\infty$  for every fixed  $r > 0$ , we can consider  $n_0(r)$  so big that  $\ln n_{\lambda}(t) \leq \frac{\Gamma_f(rt)}{4} \ln \frac{r+\varepsilon}{r}$  for  $t \geq \lambda_{n_0(r)}$ . Then

$$\begin{aligned} \sum_{n=n_0(r)}^{\infty} \exp \left\{ -\frac{\Gamma_f(r\lambda_n)}{2} \ln \frac{r+\varepsilon}{r} \right\} &\leq \int_{\lambda_{n_0(r)}}^{\infty} \exp \left\{ -\frac{\Gamma_f(rt)}{2} \ln \frac{r+\varepsilon}{r} \right\} dn_{\lambda}(t) \leq \\ &\leq \int_{\lambda_{n_0(r)}}^{\infty} n_{\lambda}(t) \exp \left\{ -\frac{\Gamma_f(rt)}{2} \ln \frac{r+\varepsilon}{r} \right\} d \left( \frac{\Gamma_f(rt)}{2} \ln \frac{r+\varepsilon}{r} \right) \leq \\ &\leq 2 \exp \left\{ -\frac{\Gamma_f(r\lambda_{n_0(r)})}{4} \ln \frac{r+\varepsilon}{r} \right\} \leq 2. \end{aligned} \quad (8)$$

From (6), (7) and (8) we get

$$\frac{\mathfrak{M}(r, A)}{\mu(r, A)} \leq n_{\lambda}(\Gamma_f^{-1}(2\Gamma_f((r+\varepsilon)\lambda_{\nu(r+\varepsilon, A)}))) + 2. \quad (9)$$

In [3] it is proved that  $\ln \mu(r, A) - \ln \mu(r_0, A) = \int_{r_0}^r \Gamma_f(t\lambda_{\nu(t, A)}) d \ln t$ ,  $0 \leq r_0 \leq r < +\infty$ . Therefore,

$$\ln \frac{\mu(r+2\varepsilon, A)}{\mu(r+\varepsilon, A)} = \int_{r+\varepsilon}^{r+2\varepsilon} \Gamma_f(t\lambda_{\nu(t, A)}) d \ln t \geq \Gamma_f((r+\varepsilon)\lambda_{\nu(r+\varepsilon, A)}) \ln \frac{r+2\varepsilon}{r+\varepsilon}$$

and, thus,

$$(r+\varepsilon)\lambda_{\nu(r+\varepsilon, A)} \leq \Gamma_f^{-1} \left( \frac{\ln \mu(r+2\varepsilon, A) - \ln \mu(r+\varepsilon, A)}{\ln(r+2\varepsilon, A) - \ln(r+\varepsilon, A)} \right).$$

From hence and (9) we obtain (3).  $\square$

**Remark 1.** Suppose that  $hr \leq \Gamma_f(r) \leq Hr$  for all  $r$ , where  $0 < h \leq H < +\infty$ . Then  $\ln n_{\lambda}(t) = o(\Gamma_f(rt))$  as  $t \rightarrow +\infty$  for every fixed  $r > 0$  if and only if  $\ln n_{\lambda}(t) = o(t)$  as  $t \rightarrow +\infty$ ,  $x/H \leq \Gamma_f^{-1}(x) \leq x/h$  and (3) holds if

$$\frac{\mathfrak{M}(r, A)}{\mu(r, A)} \leq n_{\lambda} \left( \frac{2 \ln \mu(r+2\varepsilon, A) - \ln \mu(r+\varepsilon, A)}{h \ln(r+2\varepsilon) - \ln(r+\varepsilon)} \right) + 2. \quad (10)$$

**3. Corollaries.** For entire functions (1) the following statement is correct.

**Corollary 1.** If  $R[A] = +\infty$ ,  $0 < h, H < +\infty$ ,  $hr \leq \Gamma_f(r) \leq Hr$  ( $\forall r$ ),  $\ln n_{\lambda}(t) \leq q \ln t$  for some  $q \in (0, +\infty)$  and all  $t \geq t_0$  then for every  $\alpha \in (0, +\infty)$  and all  $r$  enough large

$$\ln \mathfrak{M}(r, A) \leq \ln \mu(r, A) + q \ln \ln \frac{\mu((1+2\alpha)r, A)}{\mu((1+\alpha)r, A)} + q \ln \frac{4(1+\alpha)}{h\alpha} + 2 \ln 2. \quad (11)$$

*Proof.* Since  $\ln \frac{r+2\varepsilon}{r+\varepsilon} \geq \frac{\varepsilon}{2(r+\varepsilon)}$  and  $n_\lambda(t) \leq t^q$ , for  $\varepsilon = \alpha r$  from (10) we get

$$\mathfrak{M}(r, A) \leq \mu(r, A) \left( \left( \frac{4(1+\alpha)}{h\alpha} \right)^q \ln^q \frac{\mu((1+2\alpha)r, A)}{\mu((1+\alpha)r, A)} + 2 \right),$$

whence (11) follows.  $\square$

If series (1) has a finite radius of regular convergence, then the situation is somewhat different. If  $R[A] < +\infty$  then the function  $\mu(r, A)$  can be bounded on  $[0, R[A]]$ . The following statement is correct.

**Proposition 1.** *In order that  $\mu(r, A) \rightarrow +\infty$  as  $r \rightarrow R[A]$ , it is necessary and sufficient that  $\overline{\lim}_{n \rightarrow \infty} |a_n| M_f(R[A]\lambda_n) = +\infty$ .*

*Proof.* If  $\overline{\lim}_{n \rightarrow \infty} |a_n| M_f(R[A]\lambda_n) < +\infty$  then  $(\exists K)(\forall n \geq 1): |a_n| M_f(R[A]\lambda_n) \leq K < +\infty$ . Therefore,  $|a_n| M_f(r\lambda_n) \leq K$  for all  $n \geq 1$  and  $r \in [0, R[A]]$ , i.e.,  $\mu(r, A) \leq K$  for all  $r \in [0, R[A]]$ . On the contrary, if  $\mu(r, A) \leq K$  for all  $r \in [0, R[A]]$  then  $|a_n| M_f(r\lambda_n) \leq K$  for all  $n \geq 1$  and  $r \in [0, R[A]]$ . Fixing  $n$  and directing  $r \rightarrow R[A]$  from here we get  $|a_n| M_f(R[A]\lambda_n) \leq K$   $\square$

In what follows, we will assume that the condition  $\overline{\lim}_{n \rightarrow \infty} |a_n| M_f(R[A]\lambda_n) = +\infty$  is satisfied and we prove the following statement.

**Corollary 2.** *If  $0 < R[A] < +\infty$ ,  $0 < h, H < +\infty$ ,  $hr \leq \Gamma_f(r) \leq Hr$  for all  $r$  and  $\ln n_\lambda(t) \leq q \ln t$  for some  $q \in (0, +\infty)$  and all  $t \geq t_0$  then*

$$\ln \mathfrak{M}(r, A) \leq \ln \mu(r, A) + q \ln \ln \frac{\mu((R[A] + r)/2, A)}{\mu((R[A] + 3r)/4, A)} + q \ln \frac{1}{R[A] - r} + q \ln \frac{16R[A]}{h} + 2 \ln 2. \quad (12)$$

*Proof.* Indeed, if we choose  $\varepsilon = (R[A] - r)/4$  then  $r + 2\varepsilon = (R[A] + r)/2 < R[A]$  for  $r < R[A]$  and

$$\ln \frac{r + 2\varepsilon}{r + \varepsilon} \geq \frac{\varepsilon}{2(r + \varepsilon)} = \frac{(R[A] - r)/4}{2(r + (R[A] - r)/4)} = \frac{R[A] - r}{2(R[A] + 3r)} > \frac{R[A] - r}{8R[A]}.$$

Therefore, from (10) we get

$$\frac{\mathfrak{M}(r, A)}{\mu(r, A)} \leq \left( \frac{16R[A]}{h(R[A] - r)} \ln \frac{\mu((R[A] + r)/2, A)}{\mu((R[A] + 3r)/4, A)} \right)^q + 2,$$

whence (12) follows.  $\square$

**4. Two-term asymptotics.** Suppose that  $R[A] = +\infty$  and

$$\ln \mu(r, A) \leq T e^{\varrho r} + (1 + o(1)) \tau e^{\varrho_1 r} \quad (r \rightarrow +\infty), \quad (13)$$

where  $0 < \varrho_1 < \varrho < +\infty$ ,  $T \in (0, +\infty)$  and  $\tau \in \mathbb{R} \setminus 0$ . Then for every  $\alpha \in (0, +\infty)$  and all  $r$  enough large we have

$$\ln \ln \frac{\mu((1+2\alpha)r, A)}{\mu((1+\alpha)r, A)} \leq \ln \ln \mu((1+2\alpha)r, A) \leq \ln T + \varrho(1+2\alpha)r + o(1) = o(e^{\varrho_1 r}) \quad (r \rightarrow +\infty).$$

Therefore, if  $hr \leq \Gamma_f(r) \leq Hr$  for all  $r$  and  $\ln n_\lambda(t) = O(\ln t)$  as  $t \rightarrow +\infty$  then by Corollary 1 we get

$$\ln \mathfrak{M}(r, A) \leq T e^{\varrho r} + (1 + o(1)) \tau e^{\varrho_1 r} + q \ln \ln \frac{\mu((1+2\alpha)r, A)}{\mu((1+\alpha)r, A)} + o(e^{\varrho_1 r}) \quad (r \rightarrow +\infty),$$

i.e.

$$\ln \mathfrak{M}(r, A) \leq T e^{\varrho r} + (1 + o(1)) \tau e^{\varrho_1 r} \quad (r \rightarrow +\infty). \quad (14)$$

On the other hand, since  $\mu(r, A) \leq \mathfrak{M}(r, A)$ , (14) implies (13). Thus, the following statement is correct.

**Proposition 2.** *Let  $R[A] = +\infty$ ,  $0 < h, H < +\infty$ ,  $0 < \varrho_1 < \varrho < +\infty$ ,  $T \in (0, +\infty)$  and  $\tau \in \mathbb{R} \setminus 0$ . If  $hr \leq \Gamma_f(r) \leq Hr$  for all  $r$  and  $\ln n_\lambda(t) = O(\ln t)$  as  $t \rightarrow +\infty$  then (14) holds if and only if (13) holds.*

$$\text{Now let } 0 < R[A] < +\infty \text{ and } \ln \mu(r, A) \leq \frac{T}{(R[A] - r)^\varrho} + \frac{(1 + o(1))\tau}{(R[A] - r)^{\varrho_1}}, \quad r \uparrow R[A]. \quad (15)$$

Then

$$\begin{aligned} \ln \ln \frac{\mu((R[A] + r)/2, A)}{\mu((R[A] + 3r)/4, A)} &\leq \ln \ln \mu((R[A] + r)/2, A) \leq \\ &\leq \ln \frac{(1 + o(1))T}{(R[A] - (R[A] + r)/2)^\varrho} = \ln \frac{(1 + o(1))T2^\varrho}{(R[A] - r)^\varrho} = (1 + o(1))\varrho \ln \frac{1}{R[A] - r}, \quad r \uparrow R[A]. \end{aligned}$$

Therefore, by Corollary 2 we get

$$\ln \mathfrak{M}(r, A) \leq \ln \mu(r, A) + O\left(\ln \frac{1}{R[A] - r}\right) = \ln \mu(r, A) + o\left(\frac{1}{(R[A] - r)^{\varrho_1}}\right), \quad r \uparrow R[A],$$

i.e.

$$\ln \mathfrak{M}(r, A) \leq \frac{T}{(R[A] - r)^\varrho} + \frac{(1 + o(1))\tau}{(R[A] - r)^{\varrho_1}}, \quad r \uparrow R[A]. \quad (16)$$

On the other hand, since  $\mu(r, A) \leq \mathfrak{M}(r, A)$ , (16) implies (15). Thus, the following statement is correct.

**Proposition 3.** *Let  $0 < R[A] < +\infty$ ,  $0 < h, H < +\infty$ ,  $0 < \varrho_1 < \varrho < +\infty$ ,  $T \in (0, +\infty)$  and  $\tau \in \mathbb{R} \setminus 0$ . If  $hr \leq \Gamma_f(r) \leq Hr$  for all  $r$  and  $\ln n_\lambda(t) = O(\ln t)$  as  $t \rightarrow +\infty$  then (15) holds if and only if (16) holds.*

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