

A. HERMAS, L. OUKHTITE, L. TAOUFIQ

## GENERALIZED DERIVATIONS ACTING ON LIE IDEALS IN PRIME RINGS AND BANACH ALGEBRAS

A. Hermas, L. Oukhtite, L. Taoufiq. *Generalized derivations acting on Lie ideals in prime rings and Banach algebras*, Mat. Stud. **60** (2023), 3–11.

Let  $R$  be a prime ring and  $L$  a non-central Lie ideal of  $R$ . The purpose of this paper is to describe generalized derivations of  $R$  satisfying some algebraic identities locally on  $L$ . More precisely, we consider two generalized derivations  $F_1$  and  $F_2$  of a prime ring  $R$  satisfying one of the following identities:

1.  $F_1(x) \circ y + x \circ F_2(y) = 0$ ,
2.  $[F_1(x), y] + F_2([x, y]) = 0$ ,

for all  $x, y$  in a non-central Lie ideal  $L$  of  $R$ . Furthermore, as an application, we study continuous generalized derivations satisfying similar algebraic identities with power values on nonvoid open subsets of a prime Banach algebra  $A$ . Our topological approach is based on Baire's category theorem and some properties from functional analysis.

**1. Introduction.** Throughout this paper  $R$  denotes an associative ring. We shall denote by  $Z(R)$  the center of a ring  $R$ . An ideal  $P$  of  $R$  is a prime ideal if  $xRy \subseteq P$  yields  $x \in P$  or  $y \in P$ . In particular, if the zero ideal of  $R$  is *prime*, then  $R$  is said to be a *prime ring*. For  $x, y \in R$ , we will write  $[x, y] = xy - yx$  and  $x \circ y = xy + yx$  for the *Lie product* and *Jordan product*, respectively. An additive subgroup  $L$  of  $R$  is said to be a *Lie ideal* of  $R$  if  $[x, r] \in L$  for all  $x \in L$  and  $r \in R$ . An additive mapping  $d: R \rightarrow R$  is a *derivation* if  $d(xy) = d(x)y + xd(y)$  for all  $x, y \in R$ . An additive mapping  $F: R \rightarrow R$  is a *generalized derivation* associated to a derivation  $d$  if  $F(xy) = F(x)y + xd(y)$  for all  $x, y \in R$ . A *Banach algebra* is a normed algebra whose underlying vector space is a Banach space. The closure of a subset  $X$  of a Banach algebra  $\mathcal{A}$ , denoted by  $\bar{X}$ , is the intersection of all closed subsets of  $\mathcal{A}$  containing  $X$ . The interior of a subset  $X$  of the Banach algebra  $\mathcal{A}$ , denoted by  $\overset{\circ}{X}$ , is the largest open set contained in  $X$ . Equivalently,  $\overset{\circ}{X}$  is the union of all open subsets of  $\mathcal{A}$  contained in  $X$ .

During the past few decades, there has been an ongoing interest concerning the relationship between a ring  $R$  and the behavior of some special additive mappings defined on  $R$ . A popular result due to Posner [17] states that a prime ring admitting a non-zero centralizing derivation is a commutative integral domain. This remarkable theorem of Posner has been influential and it has played a key role in the development of various notions. This result was subsequently refined and extended by a number of algebraists. More specifically, they

2020 *Mathematics Subject Classification*: 16N60, 16U80, 16W25, 46J10.

*Keywords*: prime rings; Lie ideals; generalized derivations; Banach algebras.

doi:10.30970/ms.60.1.3-11

studied the commutativity of rings admitting suitably constrained generalized derivation that satisfies specific identities.

In [13, Theorem 2.7] it is proved that if  $R$  is a prime ring of characteristic different from two, admitting two generalized derivations  $F_1$  and  $F_2$  such that  $F_1(x)F_2(x) + F_2(x)F_1(x) = 0$  for all  $x \in R$  then  $F_1 = 0$  or  $F_2 = 0$ . An interesting result is demonstrated in [15, Theorem 2] by Hvala, it states that if  $F_1$  and  $F_2$  are two generalized derivations on a prime ring  $R$  of characteristic different from two, verifying  $[F_1(x), F_2(x)] = 0$  for all  $x \in R$ , then there exists  $\mu \in C$  such that  $F_1 = \mu F_2$ . Later Demir et al. in [12] obtained the same classification by only considering the main identity on a non-central Lie ideal of a prime ring  $R$ , except possibly when  $R$  satisfies the standard identity  $s_4$  of degree 4.

Also some authors extended various results on prime Banach algebras. The authors in [1, Theorem 3.1] showed that if  $\mathcal{A}$  is a unital prime Banach algebra,  $F$  a non-zero continuous generalized derivation with associated derivation  $d$  and  $G_1, G_2$  two nonvoid open subsets of  $\mathcal{A}$  satisfying  $F((xy)^m) - x^m y^m \in Z(\mathcal{A})$  or  $F((xy)^m) - y^m x^m \in Z(\mathcal{A})$  for all  $(x, y) \in G_1 \times G_2$  and  $m = m(x, y) > 1$ , then  $\mathcal{A}$  is commutative under the additional assumption that  $d(Z(\mathcal{A})) \neq 0$ .

Motivated by the above mentioned results, it is natural to seek more refined conclusions by considering generalized derivations that satisfy some specific identities only on a non-central Lie ideal of a prime ring. Moreover, as an application, continuous generalized derivations with power values in Banach algebras are also considered.

**2. Main results.** We will frequently use the following facts which are crucial for developing the proofs of our main results without explicit mention. The following fact is an immediate consequence of [8, Main Theorem].

**Fact 1.** *Let  $R$  be a prime ring of characteristic different from 2,  $L$  a non-central Lie ideal of  $R$  and  $F$  a generalized derivation of  $R$  such that  $F(L) \subseteq Z(R)$ . Then either  $F = 0$  or  $R$  is embedded in a  $2 \times 2$  matrix ring over a field.*

**Fact 2** ([8]). *Let  $R$  be a prime ring of characteristic different from 2 and  $F$  a generalized derivation of  $R$  such that  $F(R) \subseteq Z(R)$ . Then either  $F = 0$  or  $R$  is commutative.*

**Fact 3** ([3], Lemma 2). *Let  $R$  be a prime ring of characteristic different from 2,  $L$  a Lie ideal of  $R$  and  $C_R(L) = \{a \in R : [a, x] = 0 \ \forall x \in L\}$ . If  $L$  is not central then  $C_R(L) = Z(R)$ .*

**Fact 4** ([3], Lemma 3). *Let  $R$  be a prime ring of characteristic different from 2,  $L$  a Lie ideal of  $R$  then  $C_R([L, L]) = C_R(L)$ .*

**Fact 5** ([3], Lemma 1). *Let  $R$  be a prime ring of characteristic different from 2,  $L$  a non-central Lie ideal of  $R$ . Then there exists a non-zero two-sided ideal  $I$  of  $R$  such that  $0 \neq [I, R] \subseteq L$ .*

The following fact is an easy consequence of Fact 5 and [11, Theorem 1].

**Fact 6.** *Let  $R$  be a prime ring of characteristic different from 2,  $Q_r$  be the right Martindale quotient ring of  $R$ ,  $C$  be the extended centroid of  $R$ ,  $F$  and  $G$  be the non-zero generalized derivations of  $R$  and  $L$  be a non-central Lie ideal of  $R$ . If  $R$  is not embedded in  $M_2(K)$ , the algebra of  $2 \times 2$  matrices over a field  $K$ , and the composition  $(FG)$  acts as a generalized derivation on the elements of  $L$ , then  $(FG)$  is a generalized derivation of  $R$  and one of the following holds:*

1. *there exists  $\alpha \in C$  such that  $F(x) = \alpha x$ , for all  $x \in R$ ;*

2. there exists  $\alpha \in C$  such that  $G(x) = \alpha x$ , for all  $x \in R$ ;
3. there exist  $a, b \in Q_r$  such that  $F(x) = ax$ ,  $G(x) = bx$ , for all  $x \in R$ ;
4. there exist  $a, b \in Q_r$  such that  $F(x) = xa$ ,  $G(x) = xb$ , for all  $x \in R$ ;
5. there exist  $a, b \in Q_r$ ,  $\alpha, \beta \in C$  such that  $F(x) = ax + xb$ ,  $G(x) = \alpha x + \beta(ax - xb)$ , for all  $x \in R$ .

**Fact 7** ([12], Main Theorem). *Let  $R$  be a prime ring of characteristic different from 2,  $U$  be its right Utumi quotient ring,  $C$  be its extended centroid,  $L$  be a non-central Lie ideal of  $R$ . Let  $F: R \rightarrow R$  and  $G: R \rightarrow R$  be non-zero generalized derivations on  $R$ . If  $[F(u), G(u)] = 0$  for all  $u \in L$ , then one of the following holds:*

1. there exists  $\mu \in C$  such that for any  $x \in R$ ,  $G(x) = \mu F(x)$ ;
2.  $R$  satisfies  $s_4$ , the standard identity of degree 4 (which is the same as  $R$  is embedded in a  $2 \times 2$  matrix ring over a field).

**Fact 8** ([19], Theorem 2). *Let  $K$  be a commutative ring with unity,  $R$  be a prime  $K$ -algebra,  $G$  be a generalized derivation of  $R$ ,  $I$  be a non-zero two-sided ideal of  $R$ ,  $f(x_1, \dots, x_n)$  be a multilinear polynomial over  $K$ ,  $n \geq 1$  be a fixed integer. If  $G(f(r_1, \dots, r_n))^n = 0$ , for all  $r_1, \dots, r_n \in I$ , then either  $f(x_1, \dots, x_n)$  is central valued on  $R$  or  $G = 0$ .*

**Fact 9** ([9], Theorem 2). *If  $I$  is a non-zero ideal of the prime ring  $R$ , then  $I$ ,  $R$  and  $Q_r$  satisfy the same generalized polynomial identities with coefficients in  $Q_r$ .*

**Lemma 1.** *Let  $R$  be a prime ring of characteristic different from 2,  $L$  a Lie ideal of  $R$  and  $F, G$  two generalized derivations of  $R$  such that  $F(x)y + yG(x) = 0$  for all  $x, y \in L$ . Then one of the following holds: 1.  $F = G = 0$ ; 2.  $L \subseteq Z(R)$ ; 3.  $R$  is embedded in a  $2 \times 2$  matrix ring over a field.*

*Proof.* Suppose that  $R$  is not embedded in a  $2 \times 2$  matrix ring over a field,  $L \not\subseteq Z(R)$  and

$$F(x)y + yG(x) = 0 \text{ for all } x, y \in L. \quad (1)$$

Invoking Fact 5, there exists a non-zero two-sided ideal  $I$  of  $R$  such that  $0 \neq [I, R] \subseteq L$ . Equation (1) gives  $F(x)[u, r] + [u, r]G(x) = 0$  for all  $r \in R$ ,  $u \in I$ ,  $x \in L$ . Simple computations leads to  $[u, r][G(x), r] = 0$  for all  $r \in R$ ,  $u \in I$ ,  $x \in L$ . That is

$$[u, r]I[G(x), r] = 0 \text{ for all } r \in R, u \in I, x \in L.$$

The primeness of  $R$  yields  $[G(x), r] = 0$  for all  $r \in R$ ,  $x \in L$ . That is  $G(L) \subseteq Z(R)$ , it follows from Fact 1 that  $G = 0$ . Hence, equation (1) yields  $F = 0$ .  $\square$

**Lemma 2.** *Let  $R$  be a prime ring of characteristic different from 2,  $L$  be a Lie ideal of  $R$  and  $G$  be a generalized derivation of  $R$  such that  $G([x, y]) = 0$  for all  $x, y \in L$ . Then one of the following holds: 1.  $G = 0$ ; 2.  $L \subseteq Z(R)$ .*

*Proof.* Suppose that  $L \not\subseteq Z(R)$ . The main equality along with Fact 5 for all  $r, s \in R$ ,  $u, v \in I$  give  $G([[u, r], [v, s]]) = 0$  with  $I$  a non-zero two-sided ideal of  $R$ .  $Q_r$  being a prime  $C$ -algebra then Fact 8 and Fact 9 combined yield either  $G = 0$  or

$$[[u, r], [v, s]] \in Z(R) \text{ for all } u, v \in I, r, s \in R. \quad (2)$$

Set  $U = [I, R] := \text{span}\{[x, y] \mid x \in I, y \in R\}$ , it is clear that  $U$  is a Lie ideal of  $R$ . Equation (2) and Fact 4 give  $C_R(U) = C_R([U, U]) = R$ . Then  $[I, R]$  is central. A contradiction, thus  $G = 0$ .  $\square$

In ([2, Theorem 2.1]), the authors investigated commutativity in the rings admitting a generalized derivation  $F$  that satisfy  $F(x \circ y) = 0$  for all  $x, y$  in a nonzero ideal  $I$  of a semiprime ring  $R$ .

In our situation we consider an identity with two generalized derivations on a non-central Lie ideal of  $R$ , at the end, we achieve some classifications.

**Theorem 1.** *Let  $R$  be a prime ring of characteristic different from 2,  $C$  be its extended centroid,  $L$  be a non-central Lie ideal of  $R$  and  $F_1, F_2$  be two generalized derivations of  $R$ . If*

$$F_1(x) \circ y + x \circ F_2(y) = 0 \quad \text{for all } x, y \in L, \quad (3)$$

then one of the following holds:

1. there exists  $\lambda \in C$  such that  $F_1(x) = \lambda x$  and  $F_2(x) = -\lambda x$  for any  $x \in R$ ;
2.  $R$  is embedded in a  $2 \times 2$  matrix ring over a field.

*Proof.* Suppose that  $R$  is not embedded in a  $2 \times 2$  matrix ring over a field. Firstly we point out that, if  $F_1(L) \subseteq Z(R)$ , then Fact 1 implies  $F_1 = 0$  and relation (3) reduces to  $x \circ F_2(y) = 0$  for any  $x, y \in L$ , then  $F_2 = 0$  follows by Lemma 1.

Hence, in the sequel we assume that there exists  $u_0 \in L$  such that  $F_1(u_0) \notin Z(R)$ . Denote  $a = F_1(u_0)$ . In this sense, relation (3) yields

$$a \circ y + u_0 \circ F_2(y) = 0 \quad \text{for all } y \in L. \quad (4)$$

Application of Fact 6 implies that one of the following cases occurs:

1. there exists  $\mu \in C$  such that  $F_2(x) = \mu x$ , for all  $x \in R$ ;
2. there exist  $\alpha, \beta \in C$  such that  $F_2(x) = \alpha x + \beta[u_0, x]$ , for all  $x \in R$ .

In the first case, it follows from relation (4) that  $a \circ y + u_0 \circ (\mu y) = 0$  for all  $y \in L$ . This further implies that  $[a, [u, r]] + 2[u, r]a + [\mu u_0, [u, r]] + 2[u, r]u_0 = 0$  for all  $r \in R, u \in I$ , with  $I$  a non-zero two-sided ideal of  $R$ . Simple computations yield  $[a + \mu u_0, r]I[u, r] = 0$  for all  $r \in R, u \in I$ . Invoking ([6, Lemma 7.24]) one can see that  $a + \mu u_0 \in C$ . In particular  $[F_1(u_0), u_0] = 0$ .

Now let us consider  $F_2(x) = \alpha x + \beta[u_0, x]$ , for all  $x \in R$ . We may assume  $\beta \neq 0$ , otherwise  $[F_1(u_0), u_0] = 0$  follows by the same argument as above. In this case, relation (4) is  $a \circ y + u_0 \circ (\alpha y + \beta[u_0, y]) = 0$  for all  $y \in L$ . Arguing as above, we get by the end to  $[u, r][a + \alpha u_0 - \beta u_0^2, r] = 0$  for all  $r \in R, u \in I$ .

Invoking again ([6, Lemma 7.24]) we deduce  $a + \alpha u_0 - \beta u_0^2 \in C$ . Then  $[F_1(u_0), u_0] = 0$ . Generally, in all cases we get  $[F_1(u), u] = 0$  for any  $u \in L$ . Using Fact 7, there exists  $\lambda \in C$  such that  $F_1(x) = \lambda x$ . Relation (3) becomes  $x \circ G(y) = 0$  for all  $x, y \in L$  with  $G(x) = F_2(x) + \lambda x$ . Lemma 1 yields  $F_2(x) = -\lambda x$ .  $\square$

It is proved in [18, Theorem 3.3], that if  $R$  is a prime ring of characteristic different from 2,  $F$  is a generalized derivation of  $R$  satisfying  $F([x, y]) = [x, y]$  for all  $x, y$  in a square closed Lie ideal  $U$  of  $R$ , then  $U \subseteq Z(R)$ .

Our result investigate a more generalized identity considered on a non-central Lie ideal and give the corresponding classification to the involved generalized derivation.

**Theorem 2.** *Let  $R$  be a prime ring of characteristic different from 2,  $C$  be its extended centroid,  $L$  be a non-central Lie ideal of  $R$  and  $F_1, F_2$  be two generalized derivations of  $R$ . If*

$$[F_1(x), y] + F_2([x, y]) = 0 \quad \text{for all } x, y \in L, \quad (5)$$

then one of the following holds:

1. there exists  $\lambda \in C$  such that  $F_1(x) = \lambda x$  and  $F_2(x) = -\lambda x$  for any  $x \in R$ ;
2.  $R$  is embedded in a  $2 \times 2$  matrix ring over a field.

*Proof.* Assume that  $R$  is not embedded in a  $2 \times 2$  matrix ring over a field. Note that, if  $F_1(L) \subseteq Z(R)$ , then Fact 1 yields  $F_1 = 0$  and relation (5) reduces to  $F_2([x, y]) = 0$  for all  $x, y \in L$ , it follows from Lemma 2 that  $F_2 = 0$ . Hence, we further assume that there exists  $u_0 \in L$  such that  $F_1(u_0) \notin Z(R)$  and denote  $a = F_1(u_0)$ . As a matter of fact, relation (5) implies

$$[a, y] + F_2([u_0, y]) = 0 \quad \text{for all } y \in L. \quad (6)$$

Application of Fact 6 implies that one of the following cases holds:

1. there exists  $\mu \in C$  such that  $F_2(x) = \mu x$ , for all  $x \in R$ ;
2. there exist  $\alpha, \beta \in C$  such that  $F_2(x) = \beta^{-1}(u_0 \circ x - \alpha x)$ , for all  $x \in R$ .

The first case together with relation (6) yield  $[a + \mu u_0, y] = 0$  for all  $y \in L$ . Which gives  $[F_1(u_0), u_0] = 0$ . On the other hand, for  $F_2(x) = \beta^{-1}(u_0 \circ x - \alpha x)$ . Relation (6) reduces to

$$[a, [v, r]] + \beta^{-1}(u_0 \circ [u_0, [v, r]] - \alpha [u_0, [v, r]]) = 0 \quad \text{for all } r \in R, v \in I.$$

with  $I$  a non-zero two-sided ideal of  $R$ . The direct calculations lead us to

$$[v, r][a - \alpha u_0 - \beta^{-1}u_0^2, v] = 0 \quad \text{for all } r \in R, v \in I.$$

Then  $a - \alpha u_0 - \beta^{-1}u_0^2 \in C$ . Thus  $[F_1(u_0), u_0] = 0$ . Generally, in all cases we get  $[F_1(u), u] = 0$  for any  $u \in L$ . Using Fact 7, there exists  $\lambda \in C$  such that  $F_1(x) = \lambda x$ . Relation (5) becomes  $G([x, y]) = 0$  for all  $x, y \in L$  with  $G(x) = F_2(x) + \lambda x$ . Using again Lemma 2 it follows that  $F_2(x) = -\lambda x$ .  $\square$

**3. Applications on prime Banach Algebras.** Throughout this section,  $\mathcal{A}$  denotes a real or complex Banach algebra. To prove our main results we need the following lemma.

**Lemma 3** ([4]). *Let  $\mathcal{A}$  be a Banach algebra. If  $P(t) = \sum_{k=0}^n b_k t^k$  is a polynomial in the real variable  $t$  with the coefficients in  $\mathcal{A}$ , and if for an infinite set of real values of  $t$ ,  $P(t) \in M$ , where  $M$  is a closed linear subspace of  $\mathcal{A}$ , then every  $b_k$  lies in  $M$ .*

**Theorem 3.** *Let  $\mathcal{A}$  be a noncommutative prime Banach algebra,  $O_1, O_2$  be nonempty open subsets on  $\mathcal{A}$ ,  $F_1, F_2$  be continuous generalized derivations of  $\mathcal{A}$  and  $n$  be a fixed positive integer. Suppose that  $F_1$  and  $F_2$  satisfy one of the following assertions:*

- i)  $(F_1(x) \circ y)^n + x \circ F_2(y) = 0$  for all  $(x, y) \in O_1 \times O_2$ ;
- ii)  $[F_1(x), y]^n + F_2([x, y]) = 0$  for all  $x, y \in O_1 \times O_2$ .

Then  $F_1 = F_2 = 0$ .

*Proof.* i) Suppose that

$$(F_1(x) \circ y)^n + x \circ F_2(y) = 0 \quad \text{for all } (x, y) \in O_1 \times O_2. \quad (7)$$

Let  $u \in \mathcal{A}$  and  $x \in O_1$ . Then  $x + tu \in O_1$  for a sufficiently small real  $t$ .  $F_1, F_2$  are continuous, one can obviously see that  $F_i(ru) = rF_i(u)$  for all  $u \in \mathcal{A}$ ,  $r \in \mathbb{R}$ ,  $i \in \{1, 2\}$ . Replacing  $x$  by  $x + tu$  in equation (7), we get

$$(F_1(x) \circ y + (F_1(u) \circ y)t)^n + (x \circ F_2(y) + (u \circ F_2(y))t) = 0. \quad (8)$$

Let  $P_{n,m}(u, x, y)$  denotes the sum of all monic monomials with  $n$  occurrences of  $F_1(x) \circ y$  and  $m$  occurrences of  $F_1(u) \circ y$ . It follows from equation (8) that

$$Q(t) = \sum_{k=0}^n P_{n-k,k}(u, x, y)t^k + (x \circ F_2(y) + (u \circ F_2(y))t) = 0.$$

Setting  $Q(t) = \sum_{k=0}^n q_k(u, x, y)t^k$ ; with  $q_0(u, x, y) = (F_1(x) \circ y)^n + x \circ F_2(y)$ ,  $q_1(u, x, y) = P_{n-1,1}(u, x, y) + u \circ F_2(y)$  and  $q_k(u, x, y) = P_{n-k,k}(u, x, y)$  for all  $k \in \{2, \dots, n\}$ . As (0) is a closed linear subspace of  $\mathcal{A}$ , then Lemma 3 yields  $q_k(u, x, y) = 0$  for all  $k \in \{0, \dots, n\}$ . In particular  $q_n(u, x, y) = 0$ , thus

$$(F_1(u) \circ y)^n = 0 \text{ for all } (u, y) \in \mathcal{A} \times O_2.$$

Similarly, one can show that

$$(F_1(u) \circ v)^n = 0 \text{ for all } u, v \in \mathcal{A}. \quad (9)$$

As a consequence of the continuity of  $F_1$ , it is clear that  $(u, v) \mapsto F_1(u) \circ v$  is bilinear. Invoking Fact 8 and equation (9), we obtain  $F_1(u) \circ v \in Z(\mathcal{A})$  for all  $u, v \in \mathcal{A}$ . ([5, Theorem 2.2]) forces  $F_1 = 0$ . Equation (7) reduces to  $x \circ F_2(y) = 0$  for all  $(x, y) \in O_1 \times O_2$ . Using the same techniques as above, we get to  $u \circ F_2(v) = 0$  for all  $u, v \in \mathcal{A}$ . Invoking again ([5, Theorem 2.2]) it follows that  $F_2 = 0$ .

ii) Assume that

$$[F_1(x), y]^n + F_2([x, y]) = 0 \text{ for all } (x, y) \in O_1 \times O_2. \quad (10)$$

Let  $u \in \mathcal{A}$  and  $x \in O_1$ . Then  $x + tu \in O_1$  for a sufficiently small real  $t$ . Taking  $x + tu$  instead of  $x$  in equation (10), we get

$$([F_1(x), y] + [F_1(u), y]t)^n + F_2([x, y]) + (F_2([u, y]))t = 0. \quad (11)$$

Let  $P_{n,m}(u, x, y)$  denote the sum of all monic monomials with  $n$  occurrences of  $[F_1(x), y]$  and  $m$  occurrences of  $[F_1(u), y]$ . Equation (11) becomes

$$Q(t) = \sum_{k=0}^n P_{n-k,k}(u, x, y)t^k + F_2([x, y]) + (F_2([u, y]))t = 0.$$

Let us consider

$$Q(t) = \sum_{k=0}^n q_k(u, x, y)t^k$$

with  $q_0(u, x, y) = ([F_1(x), y])^n + F_2([x, y])$ ,  $q_1(u, x, y) = P_{n-1,1}(u, x, y) + F_2([u, y])$  and  $q_k(u, x, y) = P_{n-k,k}(u, x, y)$  for all  $k \in \{2, \dots, n\}$ . Invoking Lemma 3 we get  $q_k(u, x, y) = 0$  for all  $k \in \{0, \dots, n\}$ . In particular  $q_n(u, x, y) = 0$ , then

$$[F_1(u), v]^n = 0 \text{ for all } u, v \in \mathcal{A}. \quad (12)$$

In view of equation (12), Fact 8 gives  $[F_1(u), v] \in Z(\mathcal{A})$  for all  $u, v \in \mathcal{A}$ . Substituting  $v$  by  $vF_1(u)$ , we obtain

$$[F_1(u), v]F_1(u) \in Z(\mathcal{A}) \text{ for all } u, v \in \mathcal{A}. \quad (13)$$

Using ([7, Remark 4]), we get either  $[F_1(u), v] = 0$  or  $F_1(u) \in Z(\mathcal{A})$  for any  $u, v \in \mathcal{A}$ , that is  $F_1(u) \in Z(\mathcal{A})$  for all  $u \in \mathcal{A}$ . The latter relation along with Fact 2 implies  $F_1 = 0$ , similar approach transforms equation (10) to  $F_2([u, v]) = 0$  for all  $u, v \in \mathcal{A}$ . Invoking Lemma 2 we get  $F_2 = 0$ .  $\square$

**Theorem 4.** *Let  $\mathcal{A}$  be a noncommutative prime Banach algebra,  $C_{\mathcal{A}}$  be its extended centroid,  $O_1, O_2$  be nonvoid open subsets on  $\mathcal{A}$  and  $F_1, F_2$  be continuous generalized derivations of  $\mathcal{A}$ . If  $F_1(x^r) \circ y^s + x^r \circ F_2(y^s) = 0$  for all  $(x, y) \in O_1 \times O_2$  where  $r, s$  are non-zero integers depending on the pair of elements  $x$  and  $y$ , then one of the following holds:*

1. *there exists  $\lambda \in C_{\mathcal{A}}$  such that  $F_1(x) = \lambda x$  and  $F_2(x) = -\lambda x$  for any  $x \in \mathcal{A}$ ;*
2.  *$\mathcal{A}$  is embedded in a  $2 \times 2$  matrix ring over a field.*

*Proof.* Let us fix  $x \in O_1$  and set  $K_{r,s} = \{y \in \mathcal{A} \mid F_1(x^r) \circ y^s + x^r \circ F_2(y^s) \neq 0\}$ . We claim that each  $K_{r,s}$  is open in  $\mathcal{A}$  or equivalently its complement  $K_{r,s}^c$  is closed. For this, we consider a sequence  $(y_k)_{k \geq 1} \subset K_{r,s}^c$  converging to  $y$  and prove that  $y \in K_{r,s}^c$ . As  $(y_k)_{k \geq 1} \subset K_{r,s}^c$  then  $F_1(x^r) \circ y_k^s + x^r \circ F_2(y_k^s) = 0$  for all  $k \geq 1$ . Hence

$$\begin{aligned} \lim_{k \rightarrow \infty} F_1(x^r) \circ y_k^s + x^r \circ F_2(y_k^s) &= F_1(x^r) \circ \left( \lim_{k \rightarrow \infty} y_k \right)^s + x^r \circ F_2 \left( \left( \lim_{k \rightarrow \infty} y_k \right)^s \right) = \\ &= F_1(x^r) \circ y^s + x^r \circ F_2(y^s) = 0. \end{aligned}$$

Therefore,  $y \in K_{r,s}^c$ , thus  $K_{r,s}$  is open. Suppose now that all the  $K_{r,s}$  are dense in  $\mathcal{A}$  then the intersection of the  $K_{r,s}$  is also dense by Baire category theorem, a contradiction with the fact that  $O_2 \neq \emptyset$ . Hence, there exist some positive integers  $p, q$  depending on  $x$ , such that  $K_{p,q}$  is not dense. Accordingly, there exists a nonvoid open subset  $O_3$  in  $K_{p,q}^c$ . Therefore,

$$F_1(x^p) \circ y^q + x^p \circ F_2(y^q) = 0 \text{ for all } y \in O_3. \quad (14)$$

Let us consider  $z \in O_3$  and  $v \in \mathcal{A}$ ,  $z + tv \in O_3$  for all sufficiently small real  $t$ . Replacing  $y$  by  $z + tv$  in (14), we obtain

$$F_1(x^p) \circ (z + tv)^q + x^p \circ F_2((z + tv)^q) = 0. \quad (15)$$

Let  $P_{i,j}(x, u)$  denote the sum of all monic monomials with  $i$  occurrences of  $x$  and  $j$  occurrences of  $u$ . As  $(z + tv)^q = P_{q,0}(z, u) + P_{q-1,1}(z, u)t + \dots + P_{1,q-1}(z, u)t^{q-1} + P_{0,q}(z, u)t^q$ , it follows from equation (15) that

$$F_1(x^p) \circ \left( \sum_{i=0}^q P_{q-i,i}(z, v)t^i \right) + x^p \circ F_2 \left( \sum_{i=0}^q P_{q-i,i}(z, v)t^i \right) = 0. \quad (16)$$

It follows from (16) that

$$Q(t) = \sum_{i=0}^q \left( F_1(x^p) \circ (P_{q-i,i}(z, v)) + x^p \circ F_2(P_{q-i,i}(z, v)) \right) t^i = 0.$$

Thus

$Q(t) = \sum_{i=0}^q a_i(v, x, z)t^i = 0$  with  $a_i(v, x, z) = F_1(x^p) \circ (P_{q-i,i}(z, v)) + x^p \circ F_2(P_{q-i,i}(z, v))$ . Using Lemma 3, we get  $a_i(v, x, z) = 0$  for all  $i \in \{0, \dots, q\}$ . In particular  $a_q(v, x, z) = 0$ , that is  $F_1(x^p) \circ v^q + x^p \circ F_2(v^q) = 0$ . In conclusion, we have proved that for a given  $x \in O_1$ , there exist some positive integers  $p$  and  $q$  depending on  $x$ , such that  $F_1(x^p) \circ v^q + x^p \circ F_2(v^q) = 0$  for

all  $v \in \mathcal{A}$ . Let us fix  $v \in \mathcal{A}$ . Using a similar approach, we arrive at  $F_1(u^p) \circ v^q + u^p \circ F_2(v^q) = 0$  for all  $u, v \in \mathcal{A}$ . Now let us consider  $H_1$  and  $H_2$  the additive subgroups generated by  $\{a^p \mid a \in \mathcal{A}\}$  and  $\{a^q \mid a \in \mathcal{A}\}$  respectively, it follows that

$$F_1(x) \circ y + x \circ F_2(y) = 0 \text{ for all } (x, y) \in H_1 \times H_2. \quad (17)$$

Equation (17) along with [10] yield that either  $a^p \in Z(\mathcal{A})$  for all  $a \in \mathcal{A}$  or  $H_1$  contains a non-central Lie ideal  $J_1$ . If  $a^p \in Z(\mathcal{A})$  for all  $a \in \mathcal{A}$ , in particular  $[a^p, b^p] = 0$  for all  $a, b \in \mathcal{A}$ . It follows that  $\mathcal{A}$  is commutative from ([20], Theorem 2.3). It is a contradiction.

Now suppose that  $H_1$  contains a non-central Lie ideal  $J_1$ , similarly  $H_2$  contains also another non-central Lie ideal  $J_2$ . Let  $I_k = \{x \in \mathcal{A} \mid [x, \mathcal{A}] \subset J_k\}$  with  $k = 1, 2$ . It follows from ([14, Lemma 1.4]) that  $I_1, I_2$  are both subrings and Lie ideals of  $\mathcal{A}$ . Therefore, equation (17) becomes

$$F_1(x) \circ y + x \circ F_2(y) = 0 \text{ for all } (x, y) \in [I_1, \mathcal{A}] \times [I_2, \mathcal{A}]. \quad (18)$$

As  $[I_1, \mathcal{A}]$  and  $[I_2, \mathcal{A}]$  are dense submodules of  $[\mathcal{A}, \mathcal{A}]$  then by ([16, Theorem 2]),  $[\mathcal{A}, \mathcal{A}]$  satisfy the same identity as  $[I_1, \mathcal{A}]$  and  $[I_2, \mathcal{A}]$ , thus equation (18) becomes  $F_1(x) \circ y + x \circ F_2(y) = 0$  for all  $x, y \in [\mathcal{A}, \mathcal{A}]$ . Since  $[\mathcal{A}, \mathcal{A}]$  is a non-central Lie ideal, applying Theorem 1 we get the required result.  $\square$

Using the same above arguments, with suitable modification, application of Theorem 2 yields the following result.

**Theorem 5.** *Let  $\mathcal{A}$  be a noncommutative prime Banach algebra,  $C_{\mathcal{A}}$  be its extended centroid,  $O_1, O_2$  be nonvoid open subsets on  $\mathcal{A}$  and  $F_1, F_2$  be continuous generalized derivations of  $\mathcal{A}$ . If  $[F_1(x^r), y^s] + F_2([x^r, y^s]) = 0$  for all  $(x, y) \in O_1 \times O_2$ , where  $r, s$  are non-zero integers depending on the pair of elements  $x$  and  $y$ , then one of the following holds: 1. there exists  $\lambda \in C_{\mathcal{A}}$  such that  $F_1(x) = \lambda x$  and  $F_2(x) = -\lambda x$  for any  $x \in \mathcal{A}$ ; 2.  $\mathcal{A}$  is embedded in a  $2 \times 2$  matrix ring over a field.*

The following examples show that the primeness hypothesis in Theorems 1 and 2 is not superfluous.

**Example 1.** The ring  $\mathcal{R} = M_2(\mathbb{Z}/6\mathbb{Z}) \times \mathbb{Z}/6\mathbb{Z}$  with operations of coordinatewise addition and multiplication is a non prime ring of characteristic 6. Consider  $F_M((A, a)) = 3(MA + AM, 0)$  with  $M \in [M_2(\mathbb{Z}/6\mathbb{Z}), M_2(\mathbb{Z}/6\mathbb{Z})]$  with associated derivation  $d_M$  defined by  $d_M((A, a)) = 3(AM - MA, 0)$ . For  $L = [M_2(\mathbb{Z}/6\mathbb{Z}), M_2(\mathbb{Z}/6\mathbb{Z})] \times \mathbb{Z}/6\mathbb{Z}$  a Lie ideal of  $\mathcal{R}$ ,  $F_1 = F_M$  and  $F_2 = 0$ , we have  $F_1((A, a)) \circ (B, b) = 6(MAB + AMB, 0) = 0 \forall (A, a), (B, b) \in L$ . Nevertheless, none of the assertions of Theorem 1 are satisfied.

**Example 2.** Let us consider the ring  $\mathcal{R} = M_2(\mathbb{R}) \times \mathbb{R}$  with operations of coordinatewise addition and multiplication. It is obvious that  $\mathcal{R}$  is a non prime ring. Consider the generalized derivation  $G_N((A, a)) = (NA + AN, 0)$  with  $N \in [M_2(\mathbb{R}), M_2(\mathbb{R})]$  with associated derivation  $g_N$  defined by  $g_N((A, a)) = (AN - NA, 0)$ . We set  $L = [M_2(\mathbb{R}), M_2(\mathbb{R})] \times \mathbb{R}$ . This is a Lie ideal of  $\mathcal{R}$  along with  $G_1 = G_N$  and  $G_2 = 0$ . Simple computations show that  $[G_1((A, a)), (B, b)] + G_2([(A, a), (B, b)]) = 0 \forall (A, a), (B, b) \in L$ . However, none of the assertions of Theorem 2 is satisfied.



## REFERENCES

1. M. Ashraf, B.A. Wani, *On commutativity of rings and Banach algebras with generalized derivations*, Adv. Pure. Appl. Math., **10** (2019), no.2, 155–163.
2. M. Ashraf, N. Rehman, M. Rahman, *On generalized derivations and commutativity of rings*, Int. J. Math. Game Theory Algebra, **18** (2009), no.2, 81–86.
3. J. Bergen, I.N. Herstein, J.W. Keer, *Lie ideals and derivations of prime rings*, J. Algebra, **71** (1981), no.1, 259–267.
4. F.F. Bonsall, J. Duncan, Complete normed algebras, Springer-Verlag, New York, 1973.
5. K. Bouchannafa, M.A. Idrissi, L. Oukhtite, *Relationship between the structure of a quotient ring and the behavior of certain additive mappings*, Comm. Korean Math. Soc., **37** (2022), no.2, 359–370.
6. M. Brešar, Introduction to Noncommutative Algebra, University of Ljubljana and Maribor Slovenia, 2014.
7. M. Brešar, *Centralizing mappings and derivations in prime rings*, J. Algebra, **156** (1993), no.2, 385–394.
8. J.C. Chang, *Generalized Skew Derivations with Power Central Values on Lie Ideals*, Comm. Algebra, **39** (2011), 2241–2248.
9. C.L. Chuang, *GPI's having coefficients in Utumi quotient rings*, Proc. Amer. Math. Soc., **103** (1988), no.3, 723–728.
10. C.L. Chuang, *The additive subgroup generated by a polynomial*, Israel J. Math., **59** (1987), no.1, 98–106.
11. V. De Filippis, M. Ashraf, *A product of two generalized derivations on polynomials in prime rings*, Collect. Math., **61** (2010), no.3, 303–322.
12. Ç. Demir, V. De Filippis, N. Argaç, *Quadratic differential identities with generalized derivations on Lie ideals*, Linear Multilinear Algebra, **68** (2020), no.9, 1835–1847.
13. M. Fosner, J. Vukman, *Identities with generalized derivations in prime rings*, Mediterr. J. Math., **9** (2012), no.4, 847–863.
14. I.N. Herstein, Topics in ring theory, The University of Chicago Press, Chicago, Ill.-London, 1969.
15. B. Hvala, *Generalized derivations in rings*, Comm. Algebra, **26** (1998), no.4, 1147–1166.
16. T.K. Lee, *Semiprime rings with differential identities*, Bull. Inst. Math. Acad. Sinica, **20** (1992), no.1, 27–38.
17. E.C. Posner, *Derivations in prime rings*, Proc. Amer. Math. Soc., **8** (1957), 1093–1100.
18. N. Rehman, *On commutativity of rings with generalized derivations*, Math. J. Okayama Univ., **44** (2002), 43–49.
19. Y. Wang, *Generalized derivations with power-central values on multilinear polynomials*, Algebra Colloq, **13** (2006), no.3, 405–410.
20. B. Yood, *Commutativity theorems for Banach algebras*, Mich. Math. J., **37** (1990), no.2, 203–210.

Faculty of Science and Technology, Sidi Mohamed Ben Abdellah University  
 Fez, Morocco  
 abde.hermas@gmail.com

Faculty of Science and Technology, Sidi Mohamed Ben Abdellah University  
 Fez, Morocco  
 lahcen.oukhtite@usmba.ac.ma

National School of Applied Sciences, Ibn Zohr University  
 Agadir, Morocco  
 l.taoufiq@uiz.ac.ma

Received 12.02.2023

Revised 12.07.2023